# Enabling Adaptable Verification by Monitoring Evolvable Dependable System Architectures

Marcio Dias

mdias@ics.uci.edu

Debra J. Richardson

djr@ics.uci.edu

School of Information and Computer Science University of California, Irvine

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# Southern California, USA

#### Weather

- Mostly sunny! :-)
  - No Thunderstorms, Blizzards, Tornadoes, etc

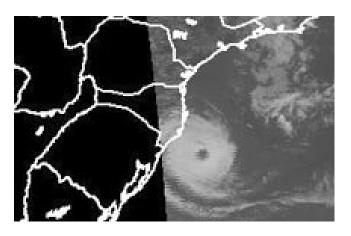
### Critical situations

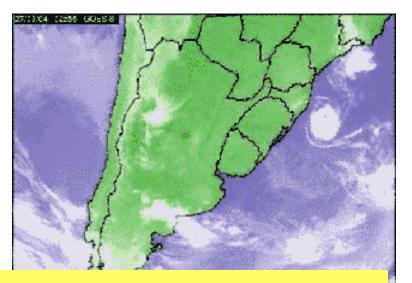
- Earthquakes, wildfires
- (budget cuts, economic/political crisis, etc)
- Air Traffic Control in Southern California
  - "Tornadoes have never happened here!"
  - "Meteorologists say it is virtually impossible!"
  - "It costs to monitor/handle this situation!"
  - Designers might say:
    - "Analysis & procedures to handle this situation is not necessary"
    - "This is not the focus of our system"

# Santa Catarina, Brazil (27 / March / 2004)

#### First tornado ever in Brazil

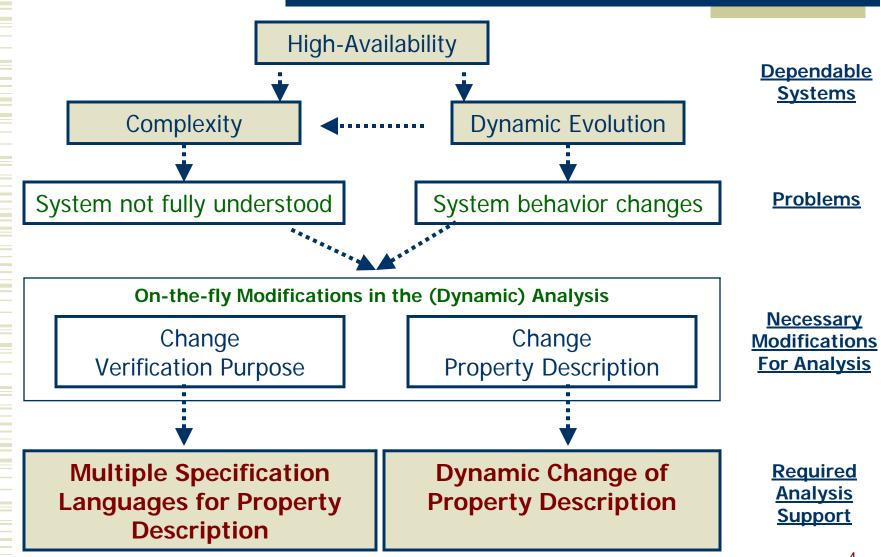
- Considered "impossible" by meteorologists
- More than US\$ 400 million in damages



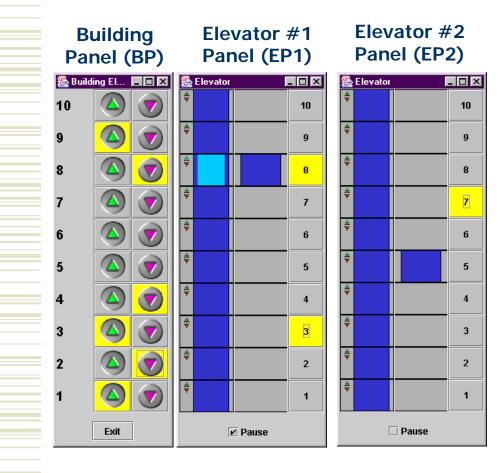


- 1. Unpredictable or "impossible" situations may happen
- 2. They may require dynamic changes in the analysis performed by/for dependable systems

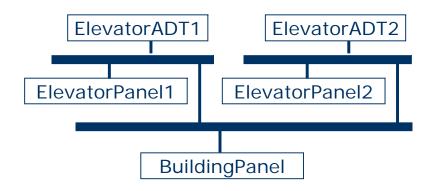
## 1. Introduction Dynamic Changes of Verification Analysis



### Example: Elevator System Case Study



#### C2 Architecture Representation for an Elevator System (with 2 elevator and no scheduler)



## Example: Elevator Case Study If Elevator System is Modified (Dynamically)...

#### Prop. description before changes:

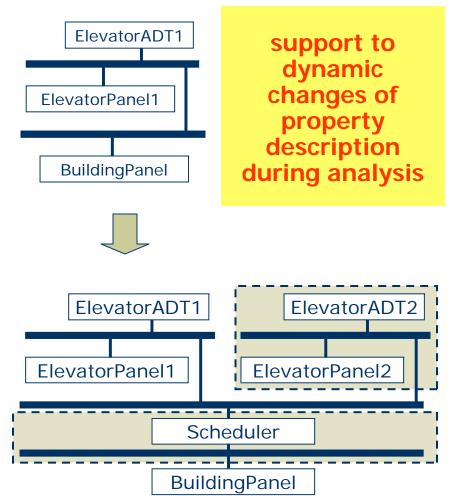
#### 1 elevators, no scheduler

- P1: Elevator should not be idle if there is an unattended call
- P2: Elevator should attend every call
- P3: Elevator should not pass by (miss) an unattended call

#### Prop. description after changes:

#### 2 elevators, scheduler

- P1': Elevator should not be idle if there is an unattended call <u>assigned (scheduled) to it</u>
- P2': Elevator should attend <u>only the calls</u> assigned to it
- P3: <u>Elevator can miss a call</u> (if the call was not yet assigned by the scheduler, or it has been assigned to another elevator)
- P4: Scheduler must assign every call with less than 1 sec of it being placed



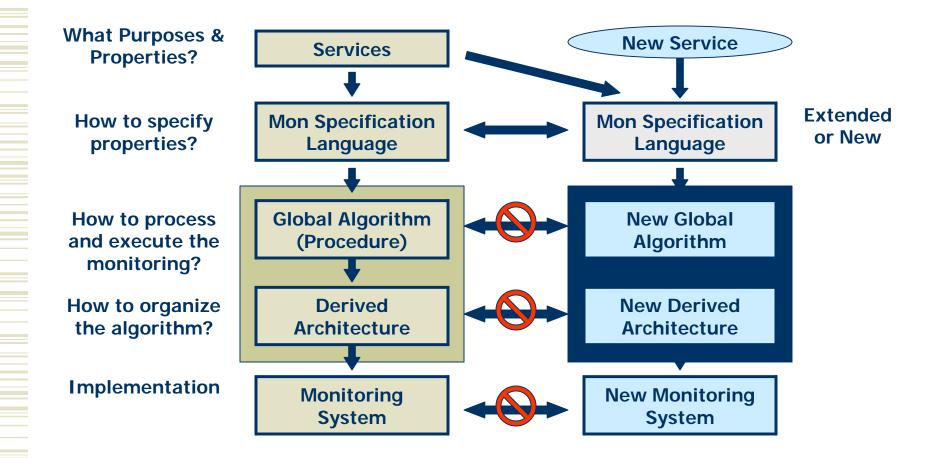
# 2. Motivation Verification and Heterogeneous Properties

### Examples of Different Verification Purposes/Interests

- Behavior Conformance Verification
  - <u>Property Description</u>: Statecharts (Component); Sequence Diagram (System); CSP; Linear Temporal Logics ...
- Functional Requirement Verification
  - <u>Property Description</u>: Use Case, Activity and Sequence Diagrams; Event-based Regular Expressions; ...
- Performance Verification
  - Property Description: Classical Temporal Logics; ...
- If Verification Purposes/Interests Change...

support to multiple (and extensible) specification languages for property description

# 2. Motivation Common Approach for Monitor Evolution



# 3. Approach Summary

#### Configurable Monitoring Systems (instead of generic monitor)

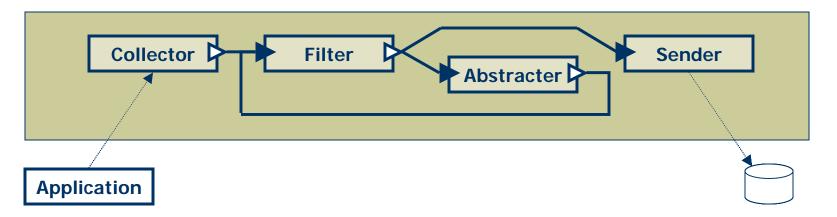
- Reuse of commonalities; development/adaptation of variabilities
- Purpose configurable
- Independent from target application and instrumentation mechanism

#### **2** Service-Oriented Monitoring System (instead of language oriented)

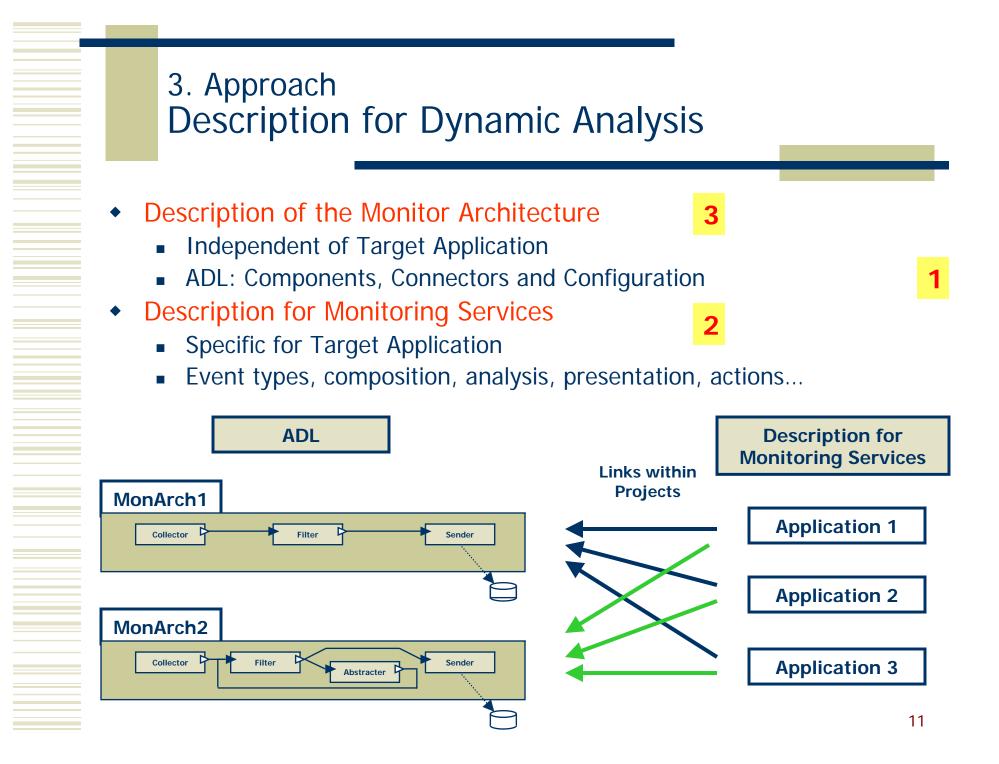
- "Service" as element of composition
- Collection of services: common, extensible and "pluggable"
- **3** Software Architecture Approach (instead of algorithmic approach)
  - Architecture-based Dynamic (Re) Configuration / Evolution
  - Event-flow Architectural Style
- 4 Configuration Before <u>and During</u> Program Execution (instead of only before)
  - Ability to modify analysis (and other monitor) services given the changes on the purposes of interest or system evolution

# 3. Approach Service-Oriented and Soft. Arch. Approach

- Service-Oriented Components
  - Identified and Classified Common Types of Services:
    - Collection: Persistence, Distribution, ...
    - Analysis: Filtering, Abstraction, Measurement, Detection, Comparison, ...
    - Presentation: Traces, Graphs, Charts, Animation, ...
    - Actions: Event Generation, Sensor Enabling, ...
  - Each Component Performs one Type of Service (for Reuse)
- Event Flow Architecture Style



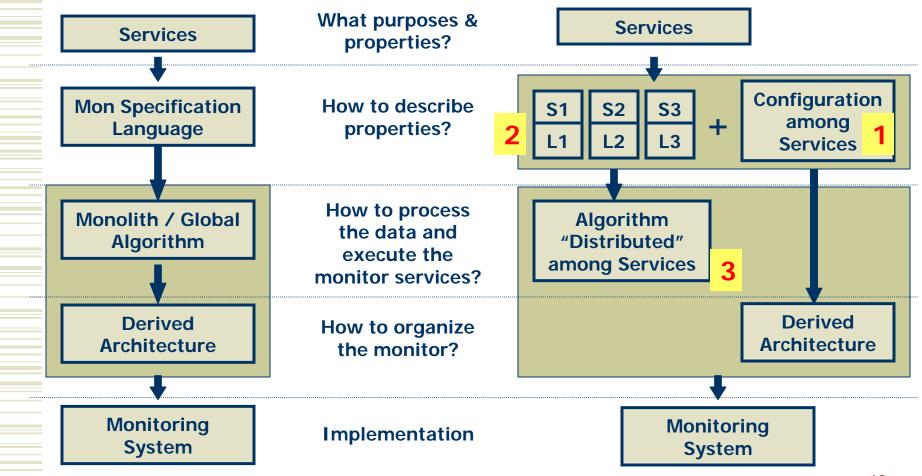
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# 3. Approach Configurable Monitor System

#### How MS are built

#### **Our Approach**



## 4. Current Status Development of MonArch (prototype)

MonArch allows:

- 1. simultaneous use of multiple specification methods (languages) for property description
- 2. modifications to the description of the properties to be (or being) analyzed: (a) Static and (b) Dynamic modification
- 3. modifications to the analysis services provided by the monitor:(a) Static and (b) Dynamic modification
- 4. the construction of previously existent monitors

MonArch prototype is composed of:

- Component Library Editor
- Monitor Architecture Editor
- Monitor Specification Editor
- Monitor Project Execution Manager

Skip >

# 4. Current Status Development of MonArch (prototype)

MonArch Component Library Editor File  Components  Components  Collection  Collection  Collection  EventCounter [1.0.0]  EventMeasurer [1.0.0]  Filter [1.0.0]  Multiplex [1.0.0]  Presentation	Component Type       Category     Analysis       Name     Abstracter       Version     1.0.0       Java Class     pmp.analysis.Abstra       Description		Library Edit	or
Add New Remove	in out Spec Class sis.spec.AbstracterCo	mponentSpec	in Comp 5 out	mp 4 eViewer mp 3 out
Library: "monarch.lib.xml" Monitor Arch	itecture		Byen Byen Byen Abstrater in Comp	In 5 5 Counter Bar Chart Viewer In Comp 6 Socket Sender In Comp 8 Zald File Sender
Editor				► ►

## 4. Current Status **Development of MonArch (prototype)**

#### **Monitor Specification Editor**

Monitor Specification Editor		🏙 Edit Specification					
Specification Edi MonArch Component Specification Edi	tor		Form X	ML			
File			Filter Type:	DETECTING			
Specification	Specification Entry		Event Types  # Event Type Constraint Add Add				
<b>P</b> □ Analysis → □ Analysis.EventCounter Spec → □ Analysis.FilterSpec1	Category [	Analysis	0 1 2	A B C	balance < 0 balance < 0 AND ( a >= 0; b <=	:0)	Remove
Analysis.FilterSpec2  Call Presentation  Action	Name	FilterSpec1					
► 🗖 Others	Description	Events 'A' and		Appl	y Reset	Close	
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# 5. Conclusions Contributions

- Conceptual framework for classification of the basic services in dynamic analysis
- Ability to allow different specification languages being used to describe the properties of interest for analysis
  - By decomposing monitor activities into basic services, and associating specification languages to these services
- Ability to allow reconfiguration of monitor system analyses during system execution
  - By using a software architecture approach for dynamic reconfiguration, and supporting to property description changes
- Implementation framework and supporting tools for building and evolving dynamic and flexible monitor architectures
- Mechanism to reuse services and specification

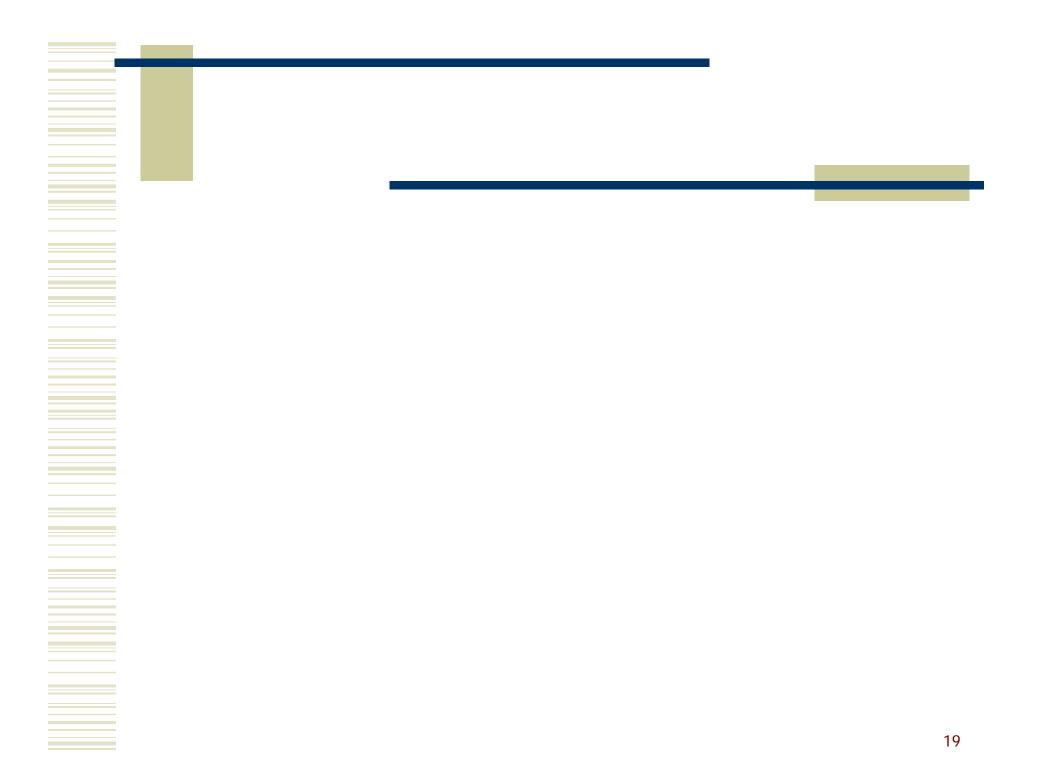
## 5. Conclusions Current and Future Work

### Case Studies

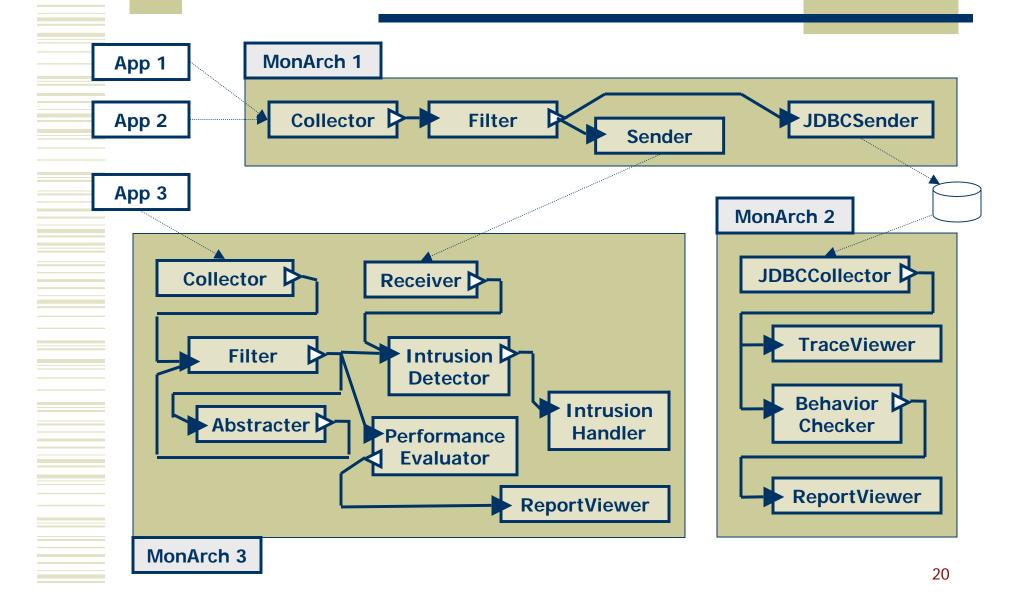
- Air Traffic Control Simulation
  - Changes applied to Safety and Performance Analyses
- Dynamically Reconfigurable Elevator System
  - Changes applied to Behavioral and Performance Analysis
- Extended GEM (Generic Event Monitor)
  - MonArch version of GEM and ability for additional analysis services
- Explore, Research and Develop...
  - additional services: analysis, presentation and <u>action</u>
  - actions for self-adaptation of the monitor system
  - evaluate performance of distributed monitor algorithms
  - instrumentation mechanisms allowing actions to be performed in the target application (e.g., dynamic modification of target application)

# Thank you! Questions and Comments



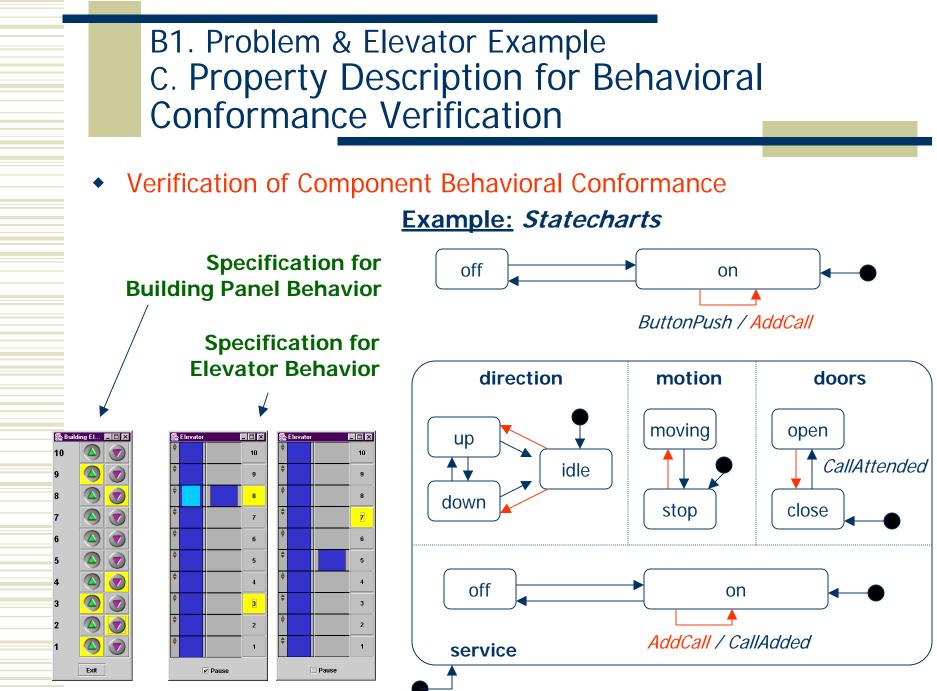


# 3. Approach Example of Distributed Monitoring



# B1. Problem & Elevator ExampleB. Verification and Heterogeneous Properties

- Examples of Different Verification Purposes/Interests
  - Behavior Conformance Verification
  - Functional Requirement Verification
  - Performance Verification
- Heterogeneous Properties (Descriptions)
  - Property Description for Behavioral Conformance Verification
    - Statecharts (Component); Sequence Diagram (System); ...
  - Property Description for Functional Requirement Verification
    - Use Case, Activity and Sequence Diagrams; *Event-based Regular Expression*; ...
  - Property Description for Performance Verification
    - Classical Temporal Logics, Linear Temporal Logics; ...



B1. Problem & Elevator Example D. Property Description for Other Verification Purposes

- Verification of System Level Functional Requirement
  - Elevator should not miss a call
  - Description (Inverse Property): When elevator misses a call

#### **Example:** Regular Expression

AddCall(dir,floor) • {

ElevStatus(dir, floor-1) • ElevStatus (dir, floor) • ~CallAttended(dir, floor) • ElevStatus (dir, floor+1) || ElevStatus(dir, floor+1) • ElevStatus (dir, floor)

~CallAttended(dir, floor) • ElevStatus (dir, floor-1)

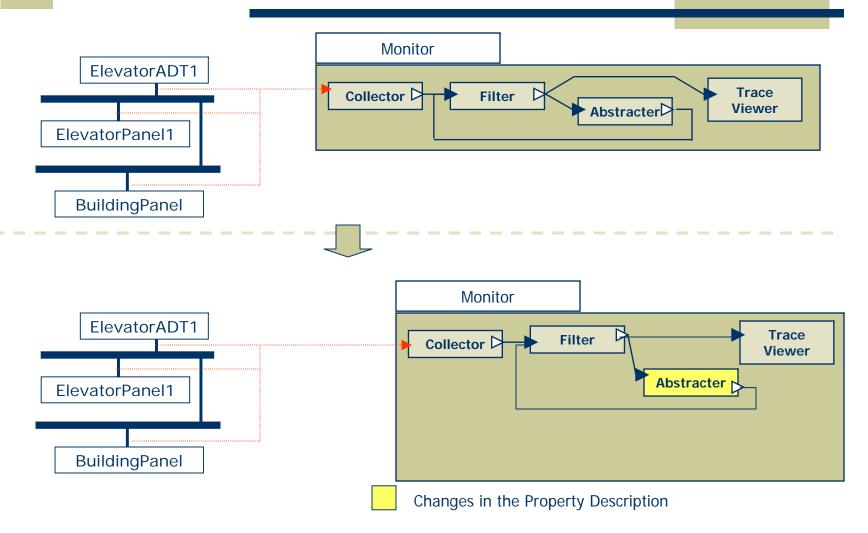
```
} • CallAttended (dir,floor)
```

- Verification of Performance (and Temporal) Requirements
   <u>Example:</u> Classic Temporal Logics
  - Every call should be scheduled in less than 1 second time (BP out AddCall[i], EP[n] in AddCall[i]) < 1 sec</li>
  - Elevator should not be idle for more than 1 second after a new call is scheduled to it

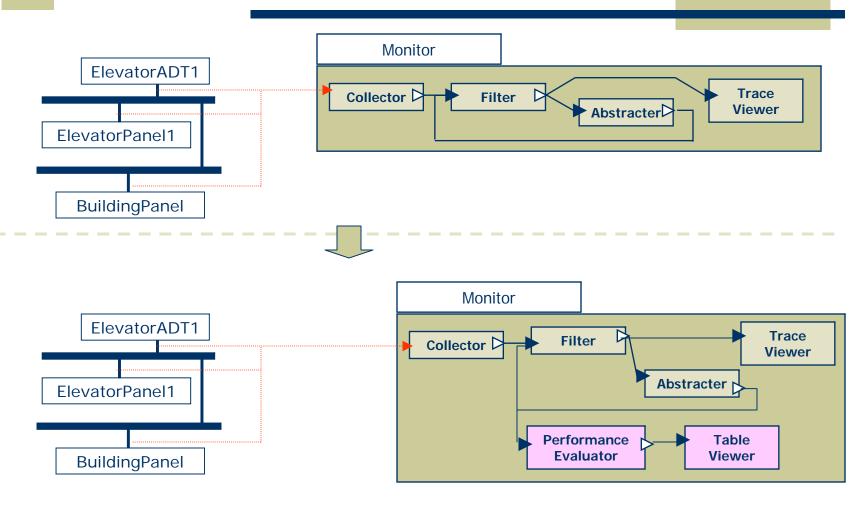
time (EP[n] in AddCall, EP[n] not in Idle) <= 1 sec</pre>

 Every call should be attended in less than 1 min time (EP[n] in AddCall[i], EP[n] out CallAttended[i]) < 60 sec</li>

# B1. Problem & Elevator Example Changes in the Property Description

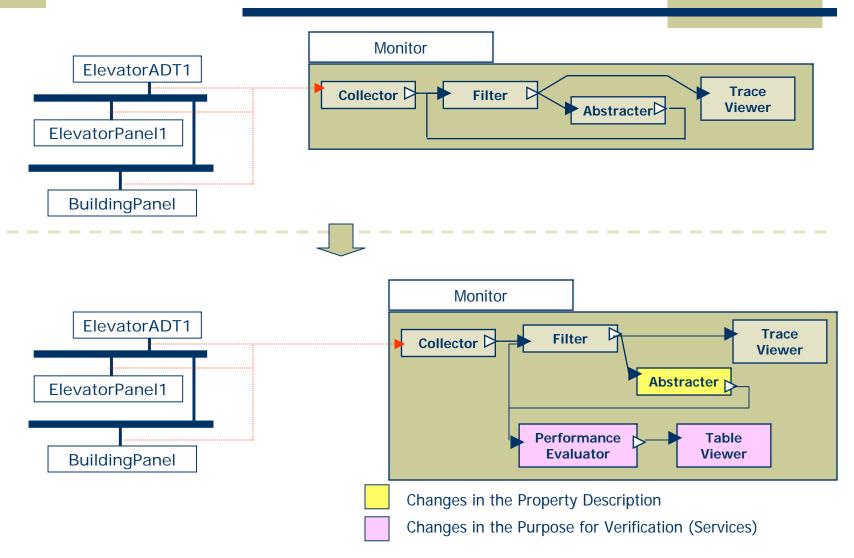


# B1. Problem & Elevator Example Changes in the Purpose for Analysis

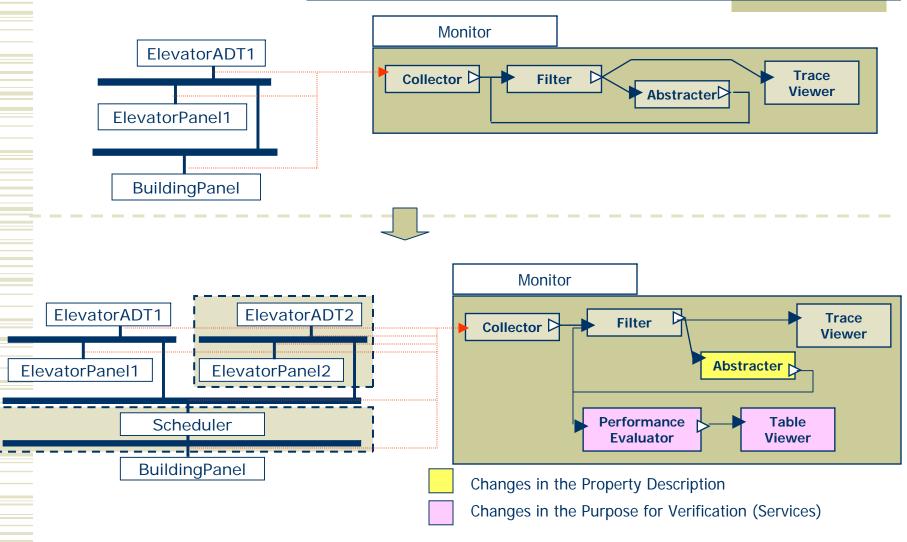


Changes in the Purpose for Verification (Services)

# B1. Problem & Elevator Example Changes in the Analysis



# B1. Problem & Elevator Example Changes in the Target Application



# Backup Slides 2. Research Context & Motivation

# A. Dynamic Verification of Properties

- Multiple specification language needed!
- B. Dependable Systems

- Complexity / High-availability / Dynamic Evolution
- C. Specification Languages for Monitors (from Survey: Boolean Tree/RegExp/FSM/...)

# B2. Research Context & Motivation A. Dynamic Verification of Properties

- Runtime System Observation Required
  - Performed by Monitoring Systems
- Different Verification Purposes
  - Performance (ex. "average/max response time")
  - Usability (ex. "frequency of service usage")
  - Availability, Security, Testing, Correctness Checking, etc...
- Different Specification Languages for Property Description
  - Example: FSM, Regular Expressions, LTL, Timed Petri-Nets, ...
  - Some properties may be described on different specification methods

No single specification language is adequate or enough to attend every verification purpose

Verification for even one purpose can benefit from the use of multiple specification languages

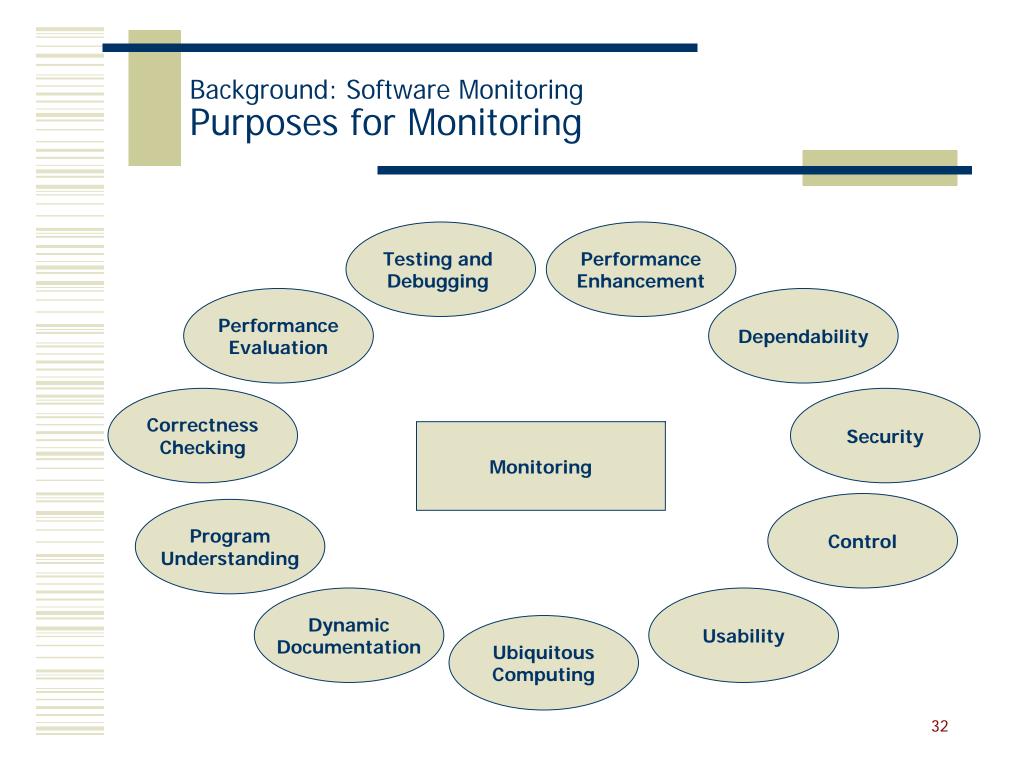
# B2. Research Context & Motivation B. Dependable Systems

- Complex Systems with High-Availability Requirement (24/7)
  - Air-traffic control, command-and-control, power-plant control, emergency systems/services (telecommunication for disaster relief organizations...), global web-based systems, etc.
- Systems Being Distributed, Replicated and Evolved Dynamically
  - Connections and Components
- Systems Composed of Heterogeneous Components
  - Running on different platforms
  - Developed with different programming languages

Complexity (distribution, heterogeneity,etc) High-availability requirement Dynamic evolution occasionally required

# B2. Research Context & MotivationC. Monitor Specification Semantics

- Specification Semantics Used By Existent Monitors
  - Simple Signature Matching:
     Balzer's Software Architecture Monitor, Jade/Mona
  - Assertions (simple conditions):
     Alamo, Anna Concurrent Monitoring, ZM4/SIMPLE
  - Boolean Expression Tree:
     HiFi
  - (Extended) Regular Expressions:
     DPEM, EBBA, EDEM, Falcon, GEM
  - Relational Calculus:
    - Issos, PMMS, Snodgrass's Historical DB (Temporal RC)
  - Finite Automata (Finite State Machine, etc): Huang & Kintala, Argus
- Some Other Possible Representations
  - Directed Acyclic Graph; Petri Nets

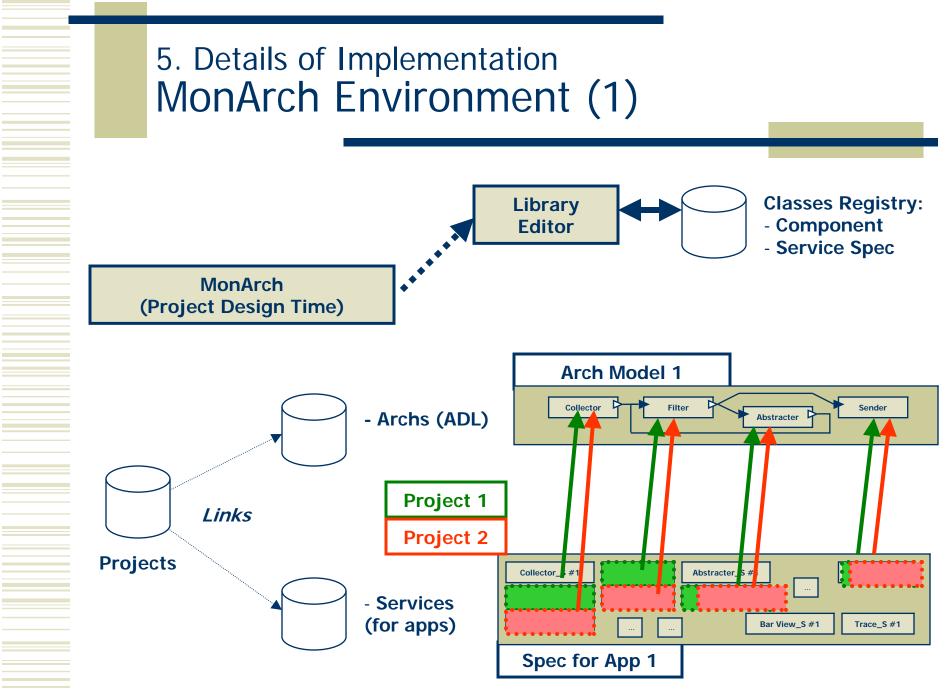


# Backup Slides 5. Details of Implementation

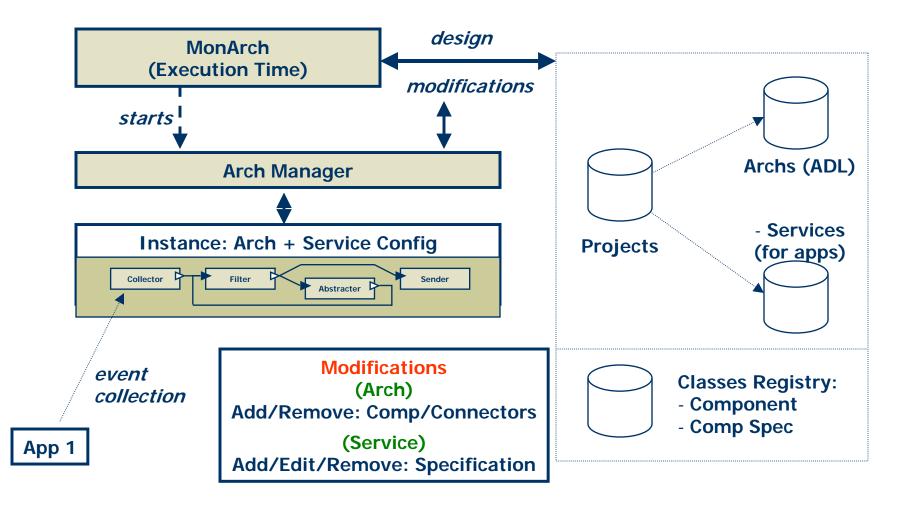
- MonArch Environment & IDE
- How are monitors attached to applications?

Example of service descriptions

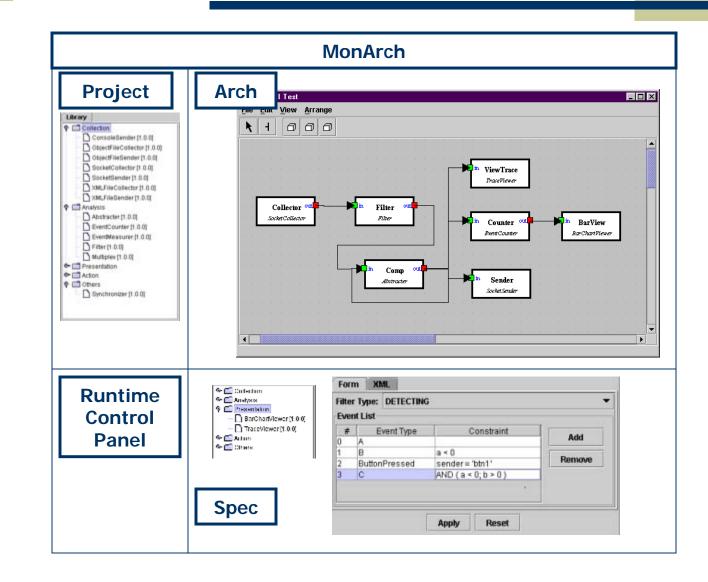
#### **Back to Backup Slides**



# 5. Details of Implementation MonArch Environment (2)

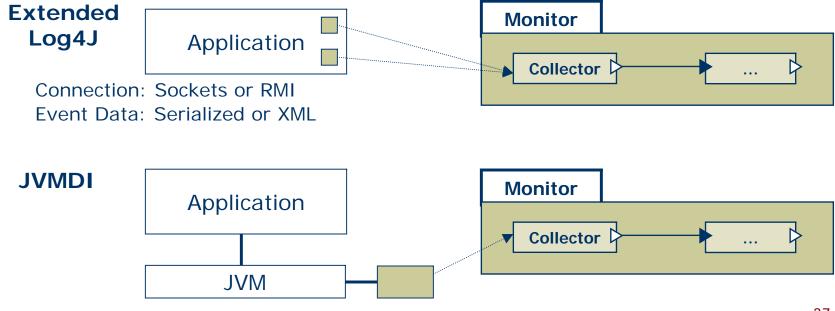


#### 5. Details of Implementation MonArch IDE (Interface Design) (Spec, Design and Run Time)



# 5. Details of Implementation How are monitors attached to applications?

- MonArch is open to different instrumentation mechanisms
- Instrumentation of Target Application:
  - Extension of Log4J for Events: mostly done
  - Java Virtual Machine Debugging Interface: under construction (planned)
- MonArch 'collector' components receive events



# 5. Details of Implementation Extending Log4J (java.logging) - Before

public class Main {
 static Logger logger = Logger.getLogger(
 Main.class.getName() );

```
public static void main( String args[] ) throws
    Exception {
```

#### // ...

logger.log( Level.INFO, "Starting transaction..." );
// ...

logger.log( Level.INFO, "Debited "+value+ " from account "+acc1 );

#### // ...

logger.log( Level.INFO, "Credited "+value+ " to account "+acc2 );

#### // ...

}

}

logger.log( Level.INFO, "end of transaction" );

#### **Trace Format Output:**

Dec 11, 2003 6:16:36 PM Main main INFO: Starting transaction... Dec 11, 2003 6:16:36 PM Main main INFO: Debited 10 from account AAA Dec 11, 2003 6:16:37 PM Main main INFO: Credited 10 to account BBB Dec 11, 2003 6:16:37 PM Main main INFO: end of transaction

#### **XML Format Output**

#### <record>

- <date>2003-12-11T18:16:36</date>
- <millis>1071195396920</millis>
- <sequence>1</sequence>
- <logger>Main</logger>
- <level>INFO</level>
- <class>Main</class>
- <method>main</method>
- <thread>10</thread>
- <message>Starting transaction...</message>

#### </record>

# 5. Details of Implementation Extending Log4J (java.logging) - After

public class Main {
 static Logger logger = Logger.getLogger(
 Main.class.getName() );

public static void main( String args[] ) throws
 Exception {

// ...

logger.send("Start");

// ...

String[] params = {"value","account"};
Object[] values = { new Double(10.), "AAA" };
logger.send("Debited", params, values);

// ...

}

}

values[2] = "BBB"; logger.send("Credited", params, values);

```
// ...
logger.send("End");
```

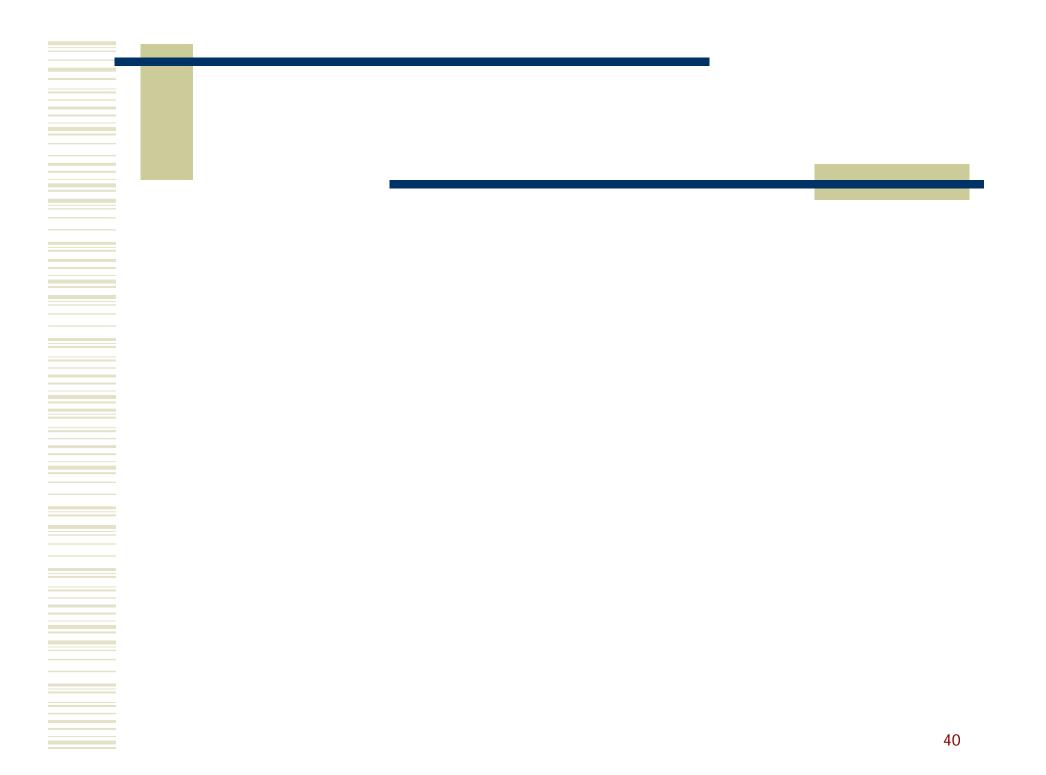
Java Object Serialization - Socket or RMI Event Object

**XML Format Output** 

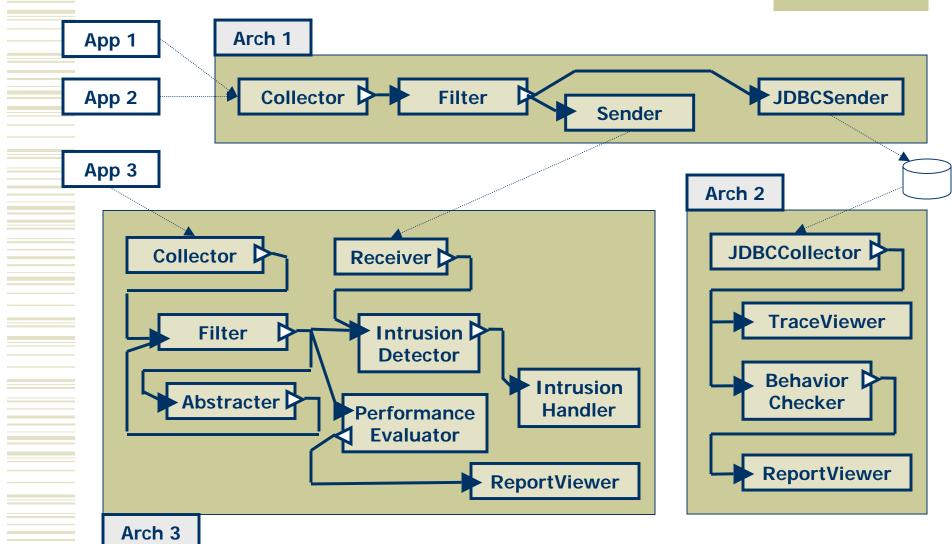
<event>

#### <metaproperties>

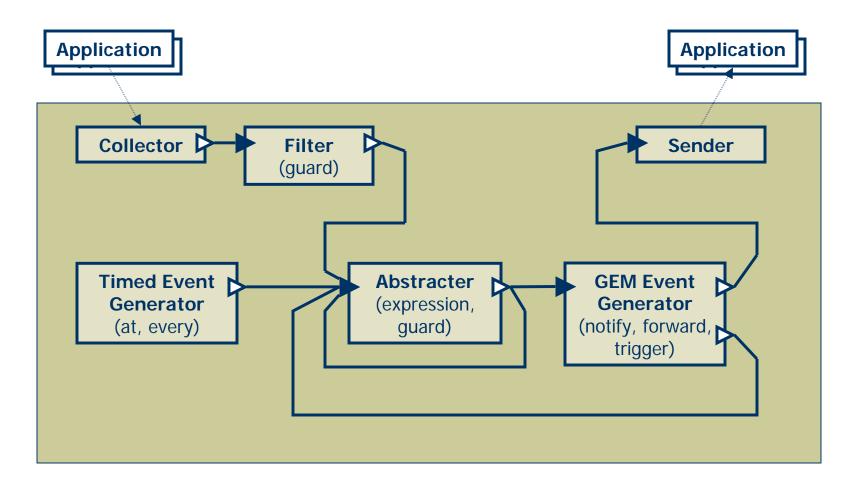
cycle content // content // content // content // content 11T18:16:37</value></property> <property><key>millis</key><value type="java.lang.Long">1071195397061</value></property> <property><key>sequence</key><value type="java.lang.Integer">2</value></property> cproperty><key>logger</key><value</pre> type="java.lang.String">Main</value></property> <property><key>class</key><value type="java.lang.String">Main</value></property> <property><key>method</key><value type="java.lang.String">main</value></property> <property><key>thread</key><value type="java.lang.Integer">10</value></property></property> <property><key>abstraction</key><value</pre> type="java.lang.String">Main</value></property> <property><key>type</key><value type="java.lang.String">Debited</value></property> </metaproperties> <properties> <property><key>value</key><value</pre> type="java.lang.Double">10.0</value></property> <property><key>account</key><value type="java.lang.String">AAA</value></property> </properties> 39 </event>



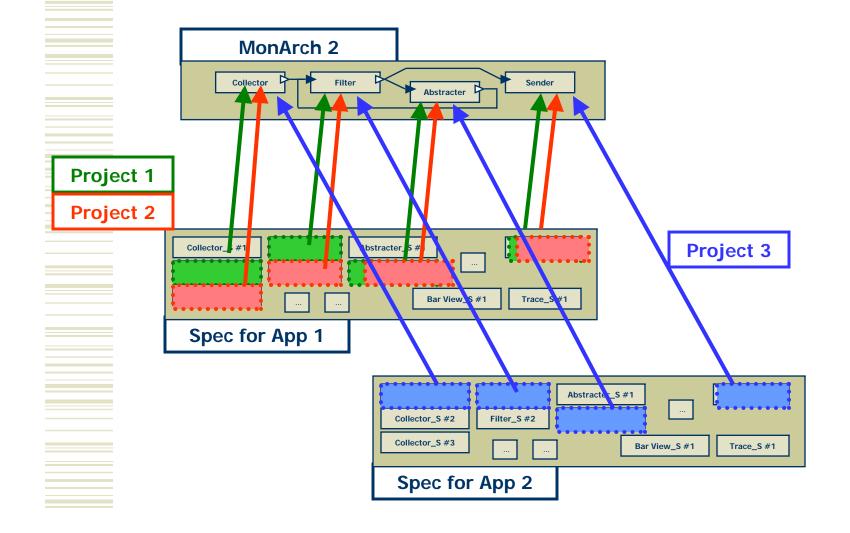
# MonArch Example Distributed Monitoring Example



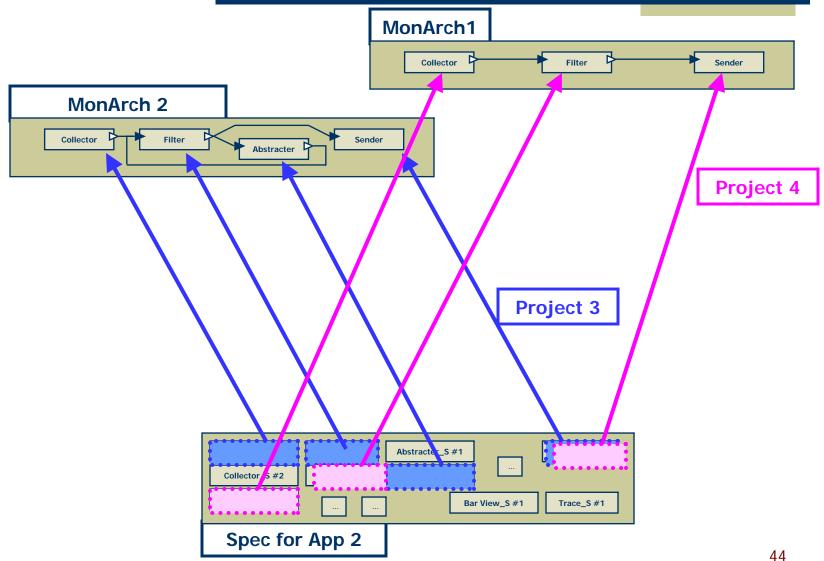
## 4. Case Studies GEM Monitoring System



# 4. Proposed Approach Project: Linking Services Specification to Monitor Architecture

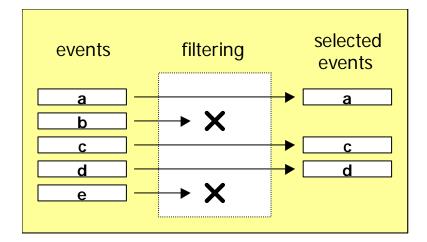


# 4. Proposed Approach Project: Linking Services Specification to Monitor Architecture

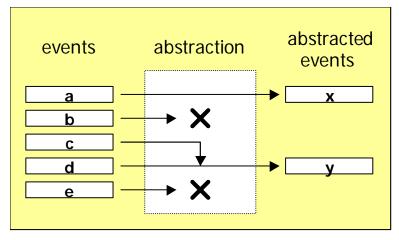


## Software Monitoring Example of Analysis Techniques

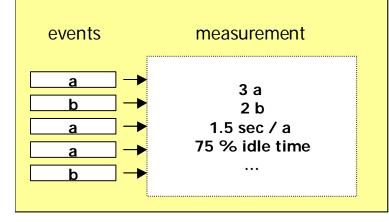
- Selection
  - Remove "noise" (filtering)

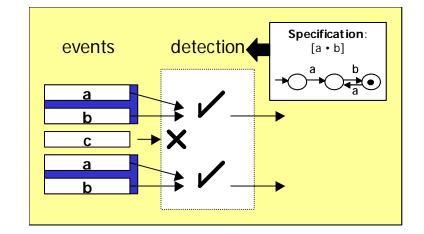


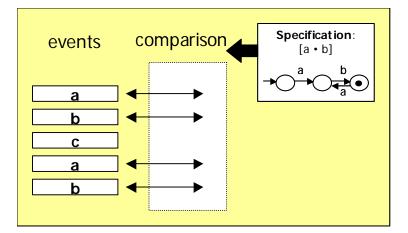
- Abstraction
  - Synthesizing new information (possibly in a different level of abstraction)

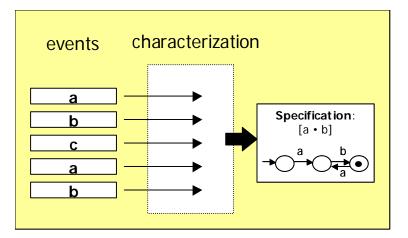


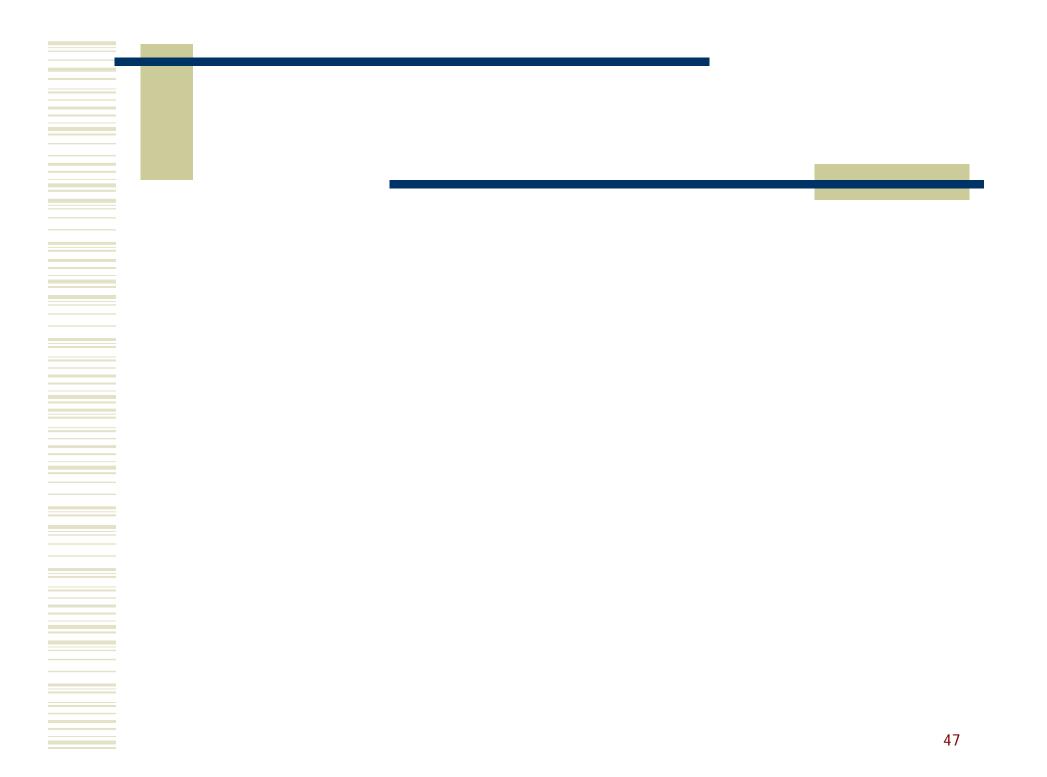
## Software Monitoring Example of Analysis Techniques











### MonArch Specification Event Specification

- Event Instance
  - Metadata section
    - *Type*
    - Timestamp (start, end)
    - SourceID / Location
    - ThreadID / ProcessID
    - ...
  - Attributes section
    - Name / Date Of Birth
    - Address / City / ...
    - FromAccount / ToAccount
    - Amount / Date

- Event Type
  - Primitive Event
    - Metadata Types
    - Attribute Types
    - Implementation Mapping (optional)
  - Composite Events
    - Metadata Types
    - Attribute Types
    - Event Dependence
    - Event Correlation
    - Constraints (Guards)

• ...

## MonArch Specification Event Instance (XML)

<event> <metaproperties> <property> <name>Type</name> <value type="String">CustomerData</value> </property> ... </metaproperties> <properties> <property> <name>Name</name> <value type="String">John Doe</value> </property>... </properties> </event>

## MonArch Specification **Primitive Event Type (XML)**

<event>

<type>EventA</type>

<primitive>

<metaproperties> (Additional Metadata - Optional)

<property><name>Count</name><type>Integer</type>

</property>

</metaproperties>

<properties>

<property><name>Name</name><type>String</type></...>

<property><name>Account</name><type>Long</type></...>

<properties>

<mapping> ... </mapping> (Optional)

</primitive>

</event>

## MonArch Specification Composite Event Type (XML)

<event> <type>EventABC</type> <composite> <metaproperties.../> <properties> <property><name>Account</name> <value>EventA.Account</value></property> </properties> <composition/> (Events That Compose This One) <correlation/> (Relation Between Events – e.g. Regular Exp) (Conditions/Guards for Composition) <constraint/> </composite> </event>

### MonArch Specification Composite Event Type (XML) - Example

#### <event><type>AccountTranfer</type>

<composite>

</metaproperties><properties>...</properties>

#### <composition>

<alias><name>before</name><event>Bank.Trans
<alias><name>withdraw</name><event>Account.
</composition>

#### <correlation>

#### <regexp>

<sequence min=1 max---<event min=1 max=1>before</event <parallel min=1 max=1>

<event>withdraw</event>

<event>deposit</event>

</parallel>

<event min=1 max=1>after</event>

</sequence>

</regexp>

</correlation>

<constraint>

<and><constraint><eq><a>

<attribute>deposit.amount</a

</constraint>

</composite>

</event>

Composition b = Bank.TransferRequest w = Account.Withdraw d = Account.Deposit a = Bank.TransferCommit

Correlation Regular Expression b • ( w • d | d • w ) • a

Constraint (Conditions) *w.amount* = *d.amount w.account* <> *d.account* 

. . .

#### <composition> What events may compose EventABC?

<composition>

<alias><event>EventA</event></alias>

<alias><name>B</name><event>EventB</event></alias>

<alias><name>C</name><event>EventC</event></alias></composition>

EventABC depends only on events EventA, EventB and EventC.

It does not necessarily imply that all events A, B and C must happen to compose EventABC. It will depend on the correlation. For example, EventABC may be a result of the following Regular Expression correlation:

(A & B) | (A & C)

#### <correlation> How do events correlate? (For EventABC)

<correlation>

<regexp> (RegularExpression / DAG / PetriNets / ...)

- <sequence min="" max="" />
- <choice min="" max="" />
- <parallel min="" max="" />
  - <event min="1" max="1">EventB</event>
- </regexp>
- </correlation>

Regular Expression: (A & B) / (A & C) <choice>

<sequence><event>A</event>event>B</event></sequence> <sequence><event>A</event>event>C</event></sequence> </choice>

#### <constraint> Conditions to be satisfied for composition

<constraint>

<<u>simple\_operand</u>> (Operands: =,>,>=,<,!=,...) <attribute>Amount</attribute> <value>300.00</value>

</\_simple\_operand\_>

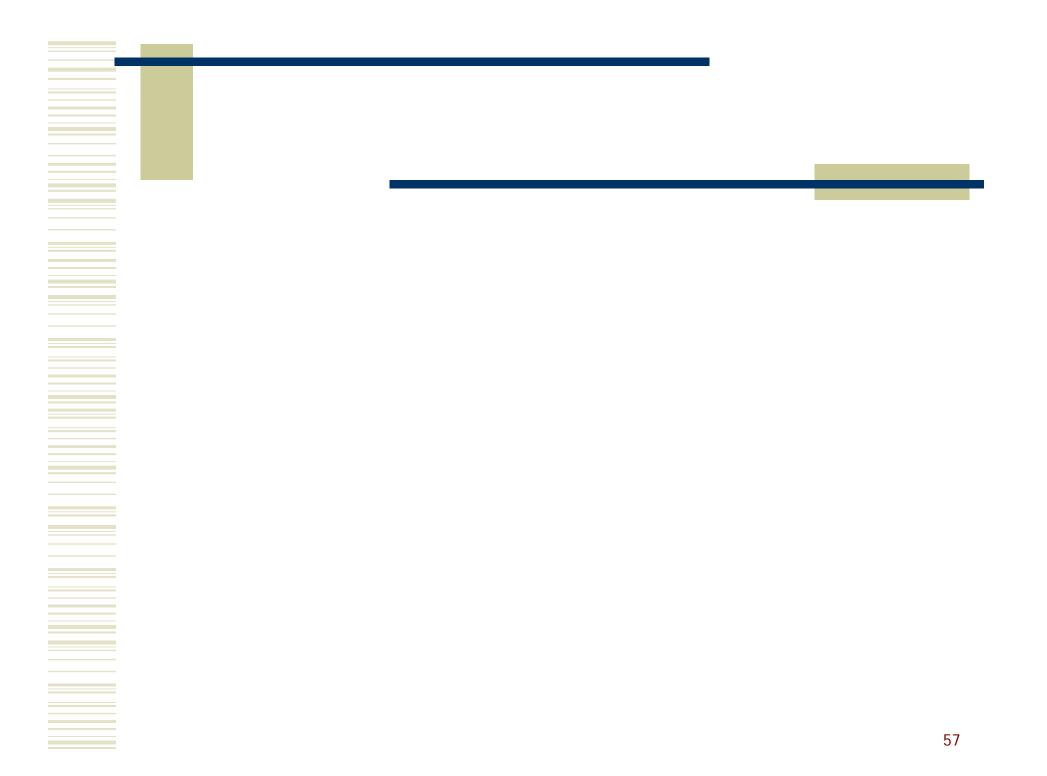
<<u>composite\_operand\_</u>> (Operands: AND, OR, NOT...) <constraint>...</constraint> <constraint>...</constraint> ... </\_composite\_operand\_> </constraint>

## MonArch Specification Filtering Specification

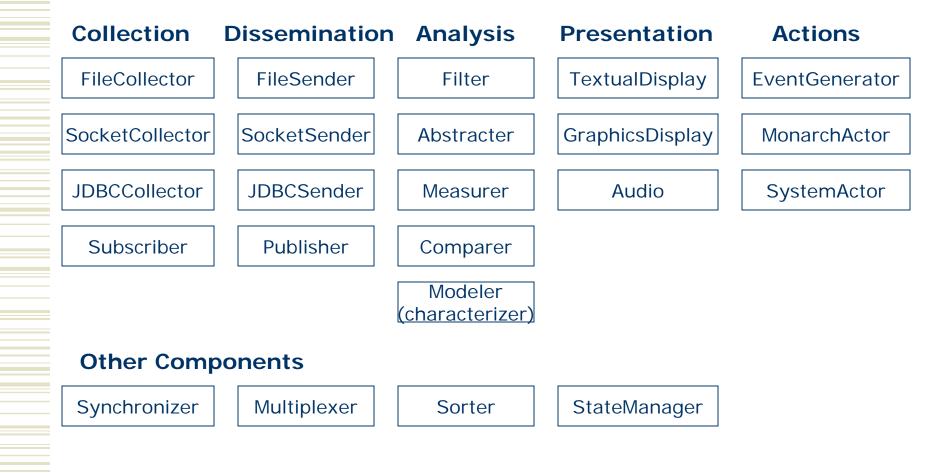
<filter>

<name>IllegalTransactions</name> <type>Detecting</type> (Detecting | Blocking) <filterEvent> <type>ATMWithdraw</type> <constraint>...</constraint> (Amount > 300.00) </filterEvent> <filterEvent> <type>InsufficientBalance</type> <constraint/> </filterEvent>

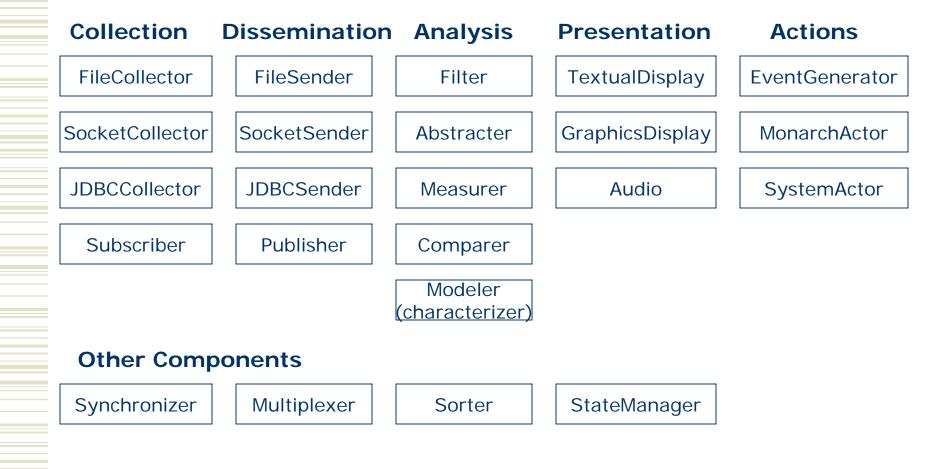
. . .



## Service-Oriented Components (examples)



## MonArch Overview of Monitoring Components



#### Components Categories (1/6) Interaction to "outer" world

- Receiver/Collector Incoming events (from outside)
  - Collector (Active, pull)
    - Socket, Subscriber, File, Database
  - Receiver (Passive, push)
    - Socket, Subscriber



- Sender (Disseminator) Outgoing events
  - Active (push)
    - Socket, Publisher, File, Database, Console
  - Passive (pull)
    - Socket, Publisher



### Components Categories (2/6) Event Filtering & Detection

- Filter Remove not interesting events
  - Detect or Block identified event
- Abstractor Pattern Matching & Abstractor
  - Pattern Matching:
    - Detect sequence (pattern) of events and generate "detected pattern" event
  - Abstraction:
    - Detect sequence and generate higher-level event



Components Categories (3/6) Event Processing

- Measurer counts and statistics
  - Simple counting (w/ or w/o constraints)
  - Average value (timing between events, ...
  - Percentages
- Comparer compare event trace to model
  - Which models ?! How to specify?!
- "Characterizer" extract info/model from event trace
  - Example: causalities ?! User behavior (expectations) ?! Etc...

## Components Categories (4/6) Display / User Interaction (Gauge?)

- Display
  - Show results to user
    - Textual
    - Graphics ...
  - Allow user interaction to monitoring system
    - Modify/Configure Architecture/Components

Components Categories (5/6) Agents / Actors

## Agents / Actors – take actions

- Actions can be:
  - generation of new events (multiple events)
  - changes to architecture: configuration, components, ...
  - enabling/disabling: properties, components / links, etc...
  - interaction to external elements (programs/resources/etc...)
- Some example:
  - Generate specific events given a timing rate...
  - Load new components or reconfigure component (with new specification)
  - Start external applications...

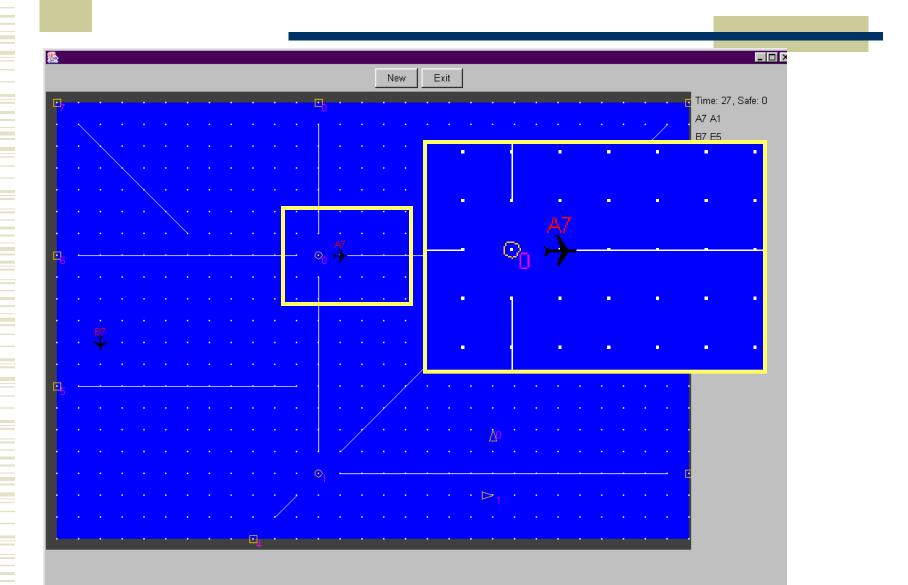
Components Categories (6/6) Other Components

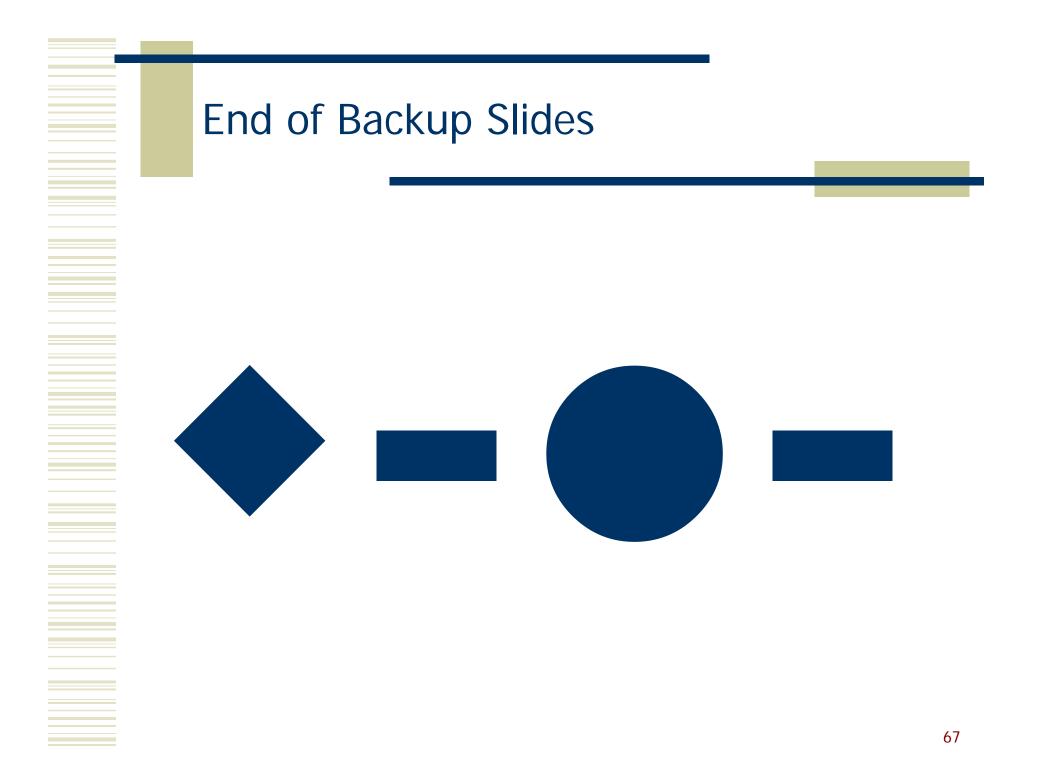
- Multiplexer (for classification, separation)
  - Separate events given some criterion:
    - Priority, Filtering, Subscriptions, etc...

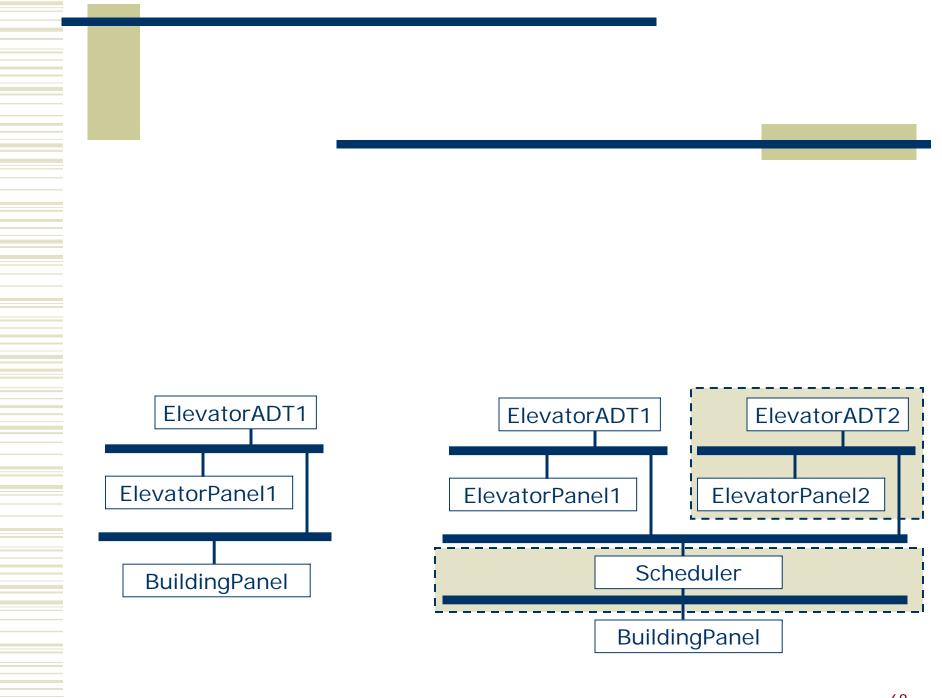


- Synchronizer
  - Synchronize clocks between different machines
  - Modify event timestamps
- Sorter
  - Sort events given some criterion (timestamp / priority / ...)
  - Some limits may be required (window frame)

# Air Traffic Control Simulator



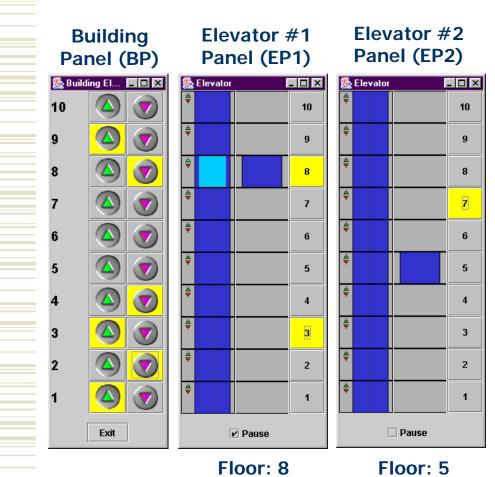




# Problem Example: Elevator Case Study Characteristics and Assumptions

**Direction: Up** 

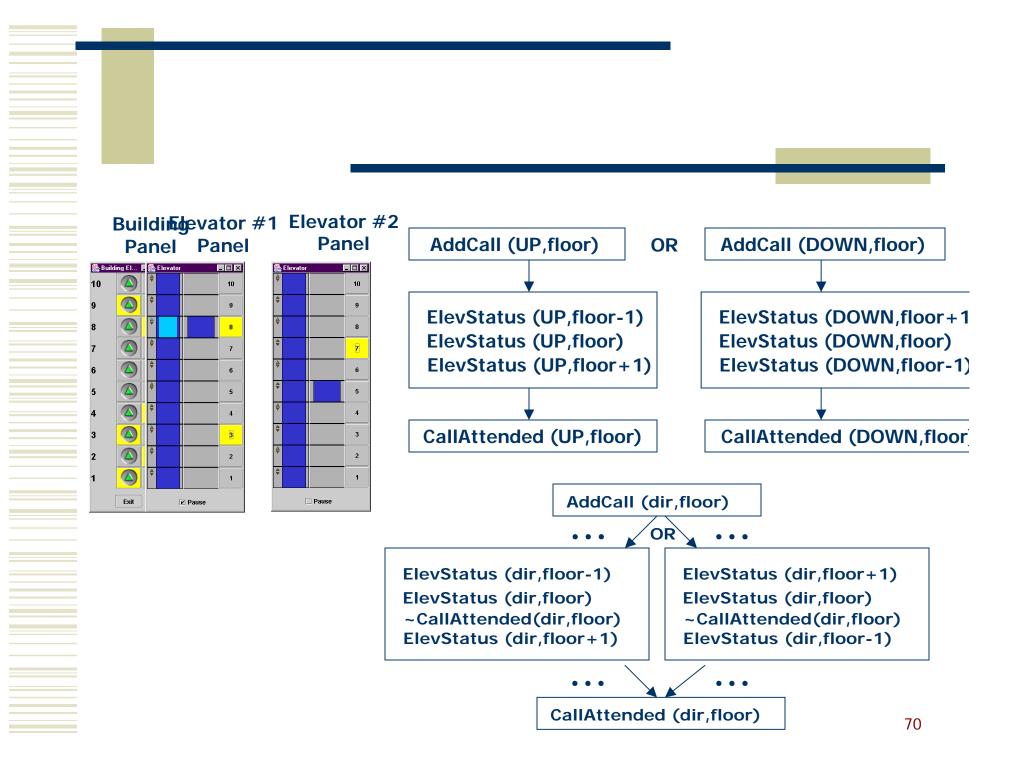
Moving

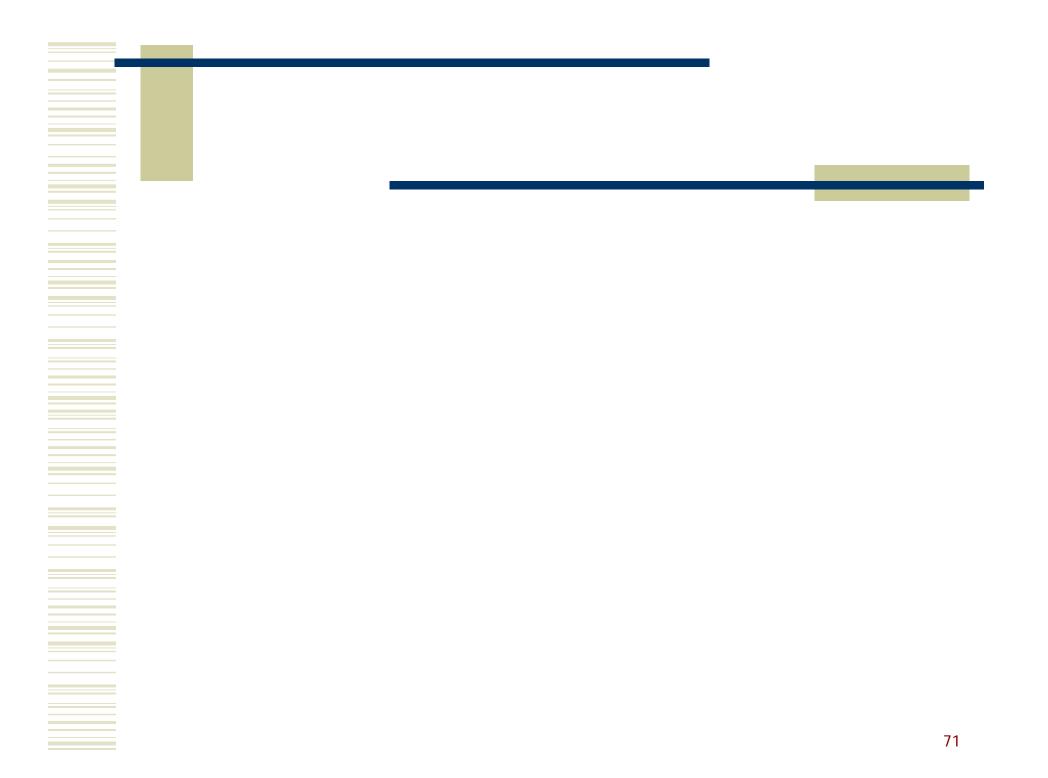


**Direction: Up** 

**Attending Call** 

- High Availability Requirement (24/7)
- Monitoring Purpose: Behavioral Conformance Verification
- Component Behavioral Specification: Statecharts
- Monitoring Analysis: Compare System Execution to Specification Models





# 1. Research Context & Motivation Examples of Dynamic Properties

- Performance
  - What is the average and max response time of service "x" ?
  - What is the average time from order "submission" to "shipment" ?
- Availability (Reliability)
  - Is service "x" available? How often (percentage) ?
  - How busy is service "x" (time for response) ?
- Usage (Usability)
  - How often is service "x" requested (number of requests) ?
  - How often does a user "undo" the "AutoFormat" ?
- Security
  - Is the system being "sniffed" ?
  - Is there someone trying to explore a known vulnerability ?
  - Is there someone violating the expected system usage ?
- And Multiple Other Purposes
  - Testing, Debugging, Correctness Checking, Control, etc...

# 1. Research Context & Motivation System Verification

- Static analysis is not enough
  - dynamic properties needed to be checked
- Dynamic analysis based on system execution monitoring
  - preparation happens before execution
  - dynamic properties to be observed and processed:
    - known before execution
    - limited to restrictions established/known before execution
- Verification requirement changes during execution
  - unknown/unexpected behavior/situation happens
  - changes: "what?" (property) and "for what?" (purpose)
- Verification environment: development & operation
  - system components may not be known before deployment
  - problems may not be detected until system is deployed

#### 1. Research Context & Motivation Software Monitoring

- Categories of Monitoring Services
  - Collection, Processing, Presentation, Dissemination and Action
- Current Monitoring Systems: Commonalities vs. Variabilities
  - much more commonalities than variabilities (ratio: 80% 20% ?!)
- Why Develop New Monitoring Systems ?
  - New services and property types required
  - Difficulties in simultaneous execution of multiple monitors
- Problems

Monolith specification language/algorithm for monitoring system

- algorithm handling all services
- architecture restricted to algorithm and services previously defined
- Hard to reuse common services
- Hard to extend or evolve monitoring systems

How MS are usually built

Problems with

Multiple Monitors

#### Problem Statement Research Question

 How can we verify dynamic properties that change during execution on many types of critical and dependable systems?

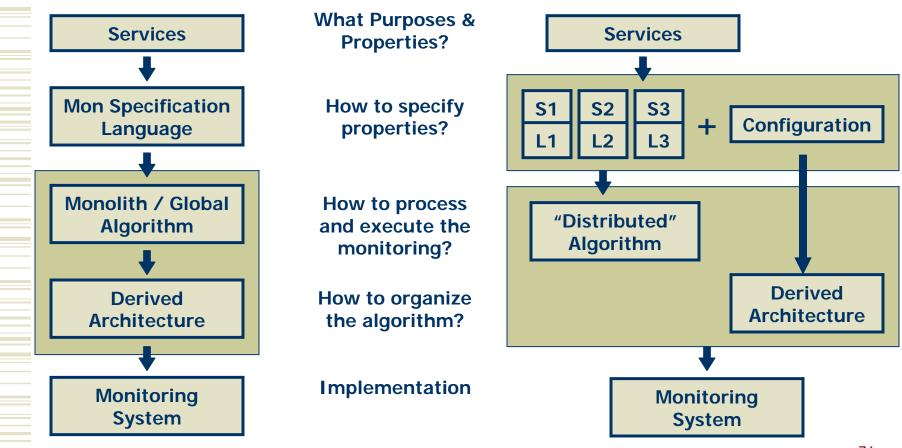
Focus:

- Verification of dynamic properties
- Run-time verification requirement changes
- Critical and dependable systems

# 2. Proposed Approach Overview

#### How MS are usually built...

#### **Our Approach**



# 2. Proposed Approach Overview

- Family of Monitoring Systems (instead of "one-size-fits-all")
  - Configurable Monitoring Systems
  - Reuse of commonalities; development/adaptation of variabilities
- Service-Oriented Monitoring System (instead of language oriented)
  - "Service" as element of composition
  - Collection of services: common, extensible and "pluggable"
- Software Architecture Approach (instead of algorithmic approach)
  - Appropriate Architectural Style: Data flow (event flow)
  - Architecture-based Dynamic (Re) Configuration / Evolution
- Purpose-Independent Monitoring Systems (instead of Generic)
  - Requires independence from:
    - Target Application (Domain, Programming Language, Platform...), Instrumentation Mechanism, Specification Language, Services, Initial Configuration of Services, ...

usually built

#### **Thesis Activities**

- Comparison Framework for Monitoring Services (survey)
  - domain analysis: commonalities and variabilities
  - services categorization and comparison
- Architectural Support for Family of Monitoring System
  - architecture style and components for monitoring systems
- Support for Specification and Configuration of Monitoring System
  - specification of events for a target application
  - description and configuration of services of a MS for a target application
- Validation
  - Case Studies and Evaluation
- Dissertation Writing

### 2. Proposed Approach Scenario: Roles and Tasks

#### Monitor System (MS) Developer

- Identification, Selection and Configuration of Services for a MS
  - Requirements for a MS, purposes and types of properties
  - Selection or implementation of services
  - Define relationship between services (configuration)

#### **MS User**

- Deals with the Target Application (TA) and MS Preparation
  - Specification of Events for the TA
  - Specification for Services of a MS for the TA

#### MS "Advanced" User (or interacting with a MS Developer)

- Deals with new services for MS and dynamic changes
  - Selection (or implementation) of new services
  - Specification for the new services in relation to the TA
  - (Dynamic) re-configuration of the MS services

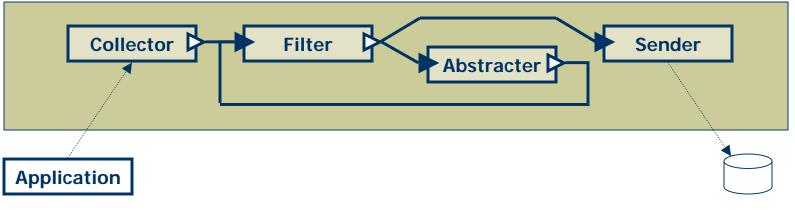
### 2. Proposed Approach Architectural Support

- Service-Oriented Components
  - Common Types of Services (identified on survey)

Need more details ?

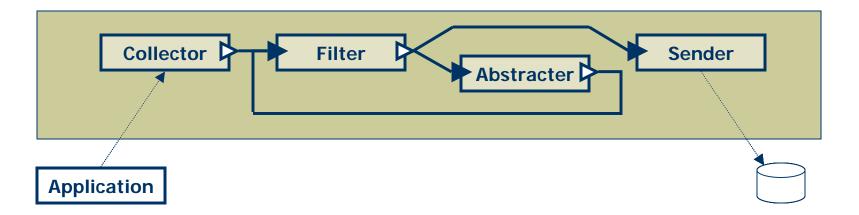
- Collection: Persistence, Distribution, ...
- Analysis: Filtering, Abstraction, Measurement, Detection, Comparison, ...
- Presentation: Traces, Graphs, Charts, Animation, ...
- Actions: Event Generation, Sensor Enabling, ...
- Each Component Perform only one Type of Service (for Reuse)
- Data Flow Architecture Style

Need more details ?

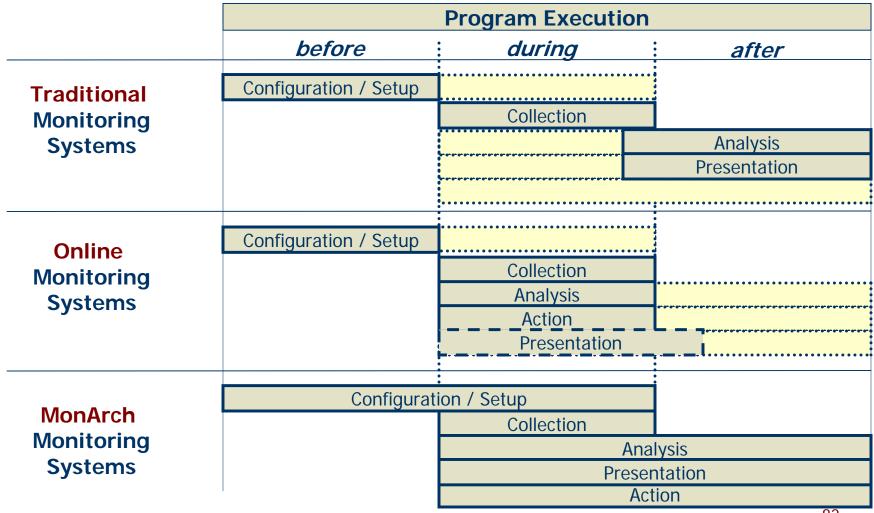


### 2. Proposed Approach Data Flow Architectural Style

- Architectural Style Rules
  - Event as the only element of communication
  - Input and Output ports only (no dual communication ports)
  - Asynchronous communication between components
- Example



# 2. Proposed Approach Innovation



# 2. Proposed Approach Future Vision

	Program Execution		
	before	during	after
Traditional Monitoring Systems	Preparation		
		Collection	
			Analysis
			Presentation
Online Monitoring Systems			
	Preparation	Collection	
		Analysis	
		<u>Action</u>	
		Presentation	
MonArch Monitoring Systems	Preparation		
		Collection	
		Analysis	
		Presentation	
		Action	
Future Monitoring Systems	Preparation		
		Collection	
	Analysis		
	Presentation		
	Action		

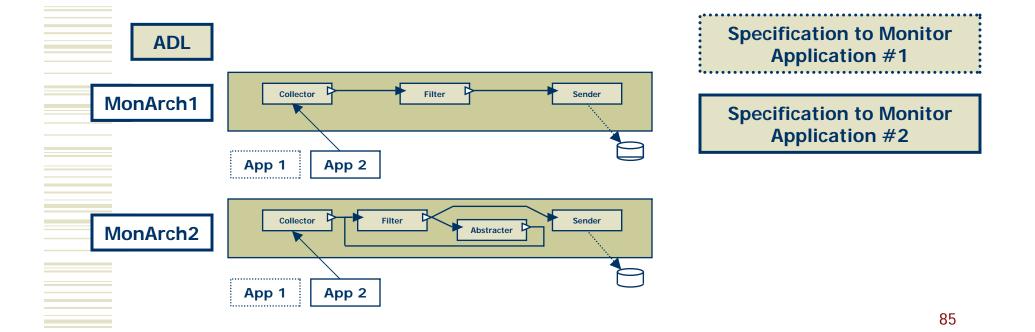
## 3. MonArch

## Goal?

- Support the development of monitoring systems
- What kind of support?
  - Infrastructure for monitoring systems
- How?
  - Software architecture-based product family
  - Framework & library with common M.S. services
    - Services provided by software components
  - Support specification and development of variabilities

#### 3. MonArch Specifications

- Monitoring System Architecture Specification
  - ADL: Components, Connectors and Configuration
- Monitoring Specification for Target Application
  - Event types, composition, analysis, presentation, actions...

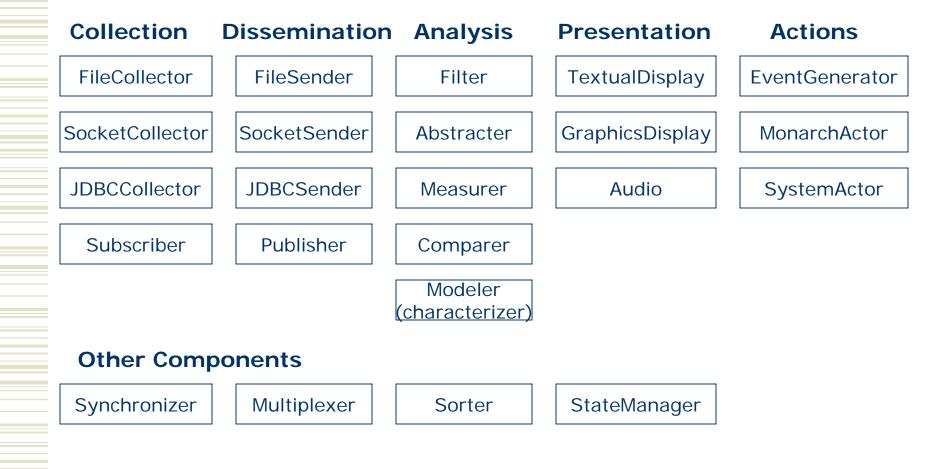


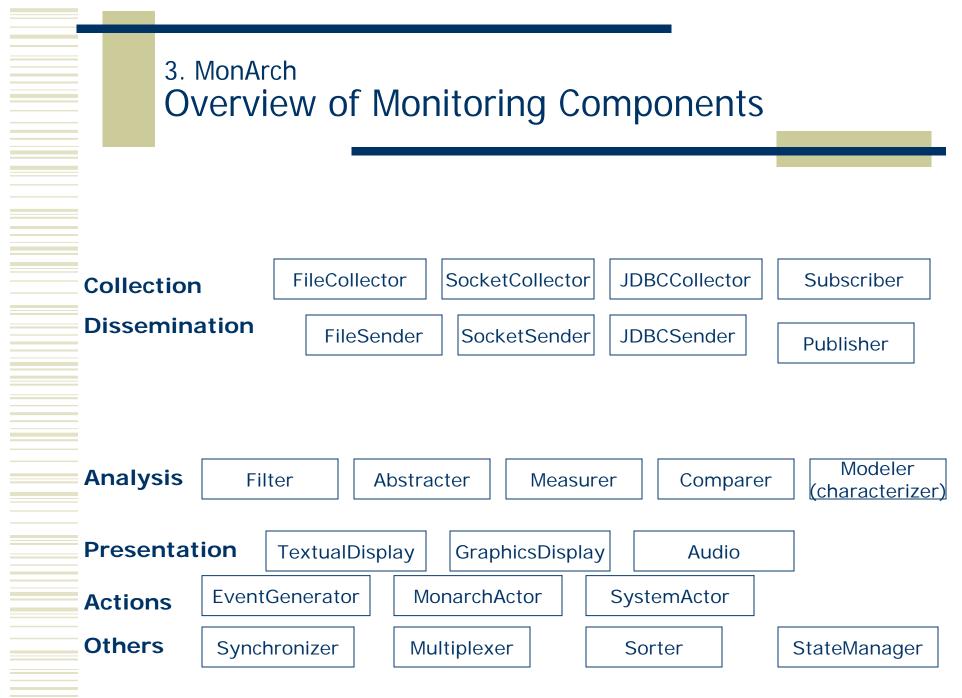
#### 3. MonArch Specification

## Commonalities vs. Variabilities

- Common services => "common" specification
- "Killer features" => "extended" specification
- What are the commonalities?
  - Hard to decide!!! (point of view/agreement/...)
- Solution? Stepwise refinement?!!
  - Select a basic set of services and specification for commonalities
    - Create library of services
    - Create specification language for service
  - Extend services and specification for variabilities
    - New libraries and specification languages

#### 3. MonArch Overview of Monitoring Components





## 4. Case Studies

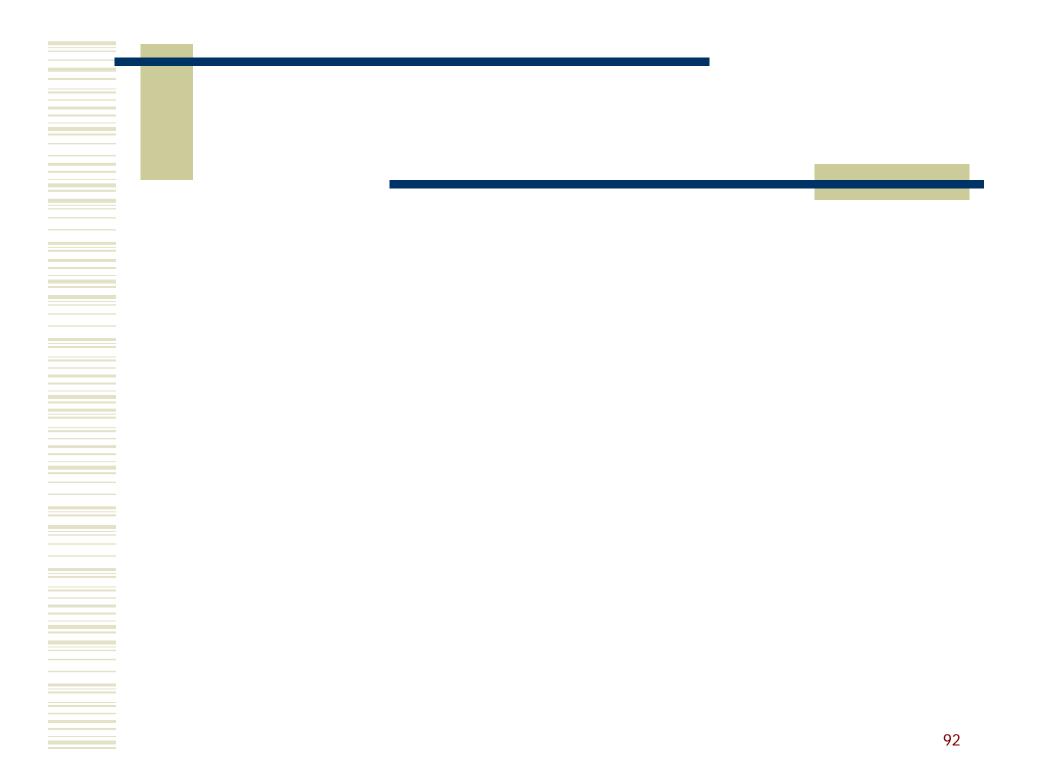
- Elevator Simulator Dynamic Reconfiguration
   requires dynamic adaptation of dynamic analysis
- GEM Generic Monitoring System
   Building existent MSs with MonArch
- Self-Analysis Monitoring MonArch (TBD)
  - Monitoring MonArch systems ?!
    - (what exactly do I want to demonstrate here?)

4. Case Studies Elevator Problem

(previous presentation)

## Conclusion

- Summary
- Benefits
- Future Work
- Schedule



2. Proposed Approach Innovation

- Support for Family of Monitoring Systems
  - No MS can provide all needed services
- Independent Monitoring System (vs. Generic)
  - reuse MS with distinct variations
- Dynamic Adaptation & Evolution for MS
  - activities can be performed during execution
  - properties of interest can be (re) defined during execution
  - services can be created/changed/removed during execution

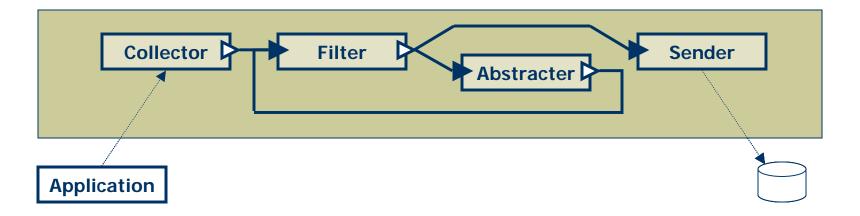
### 2. Proposed Approach Service-Oriented Components

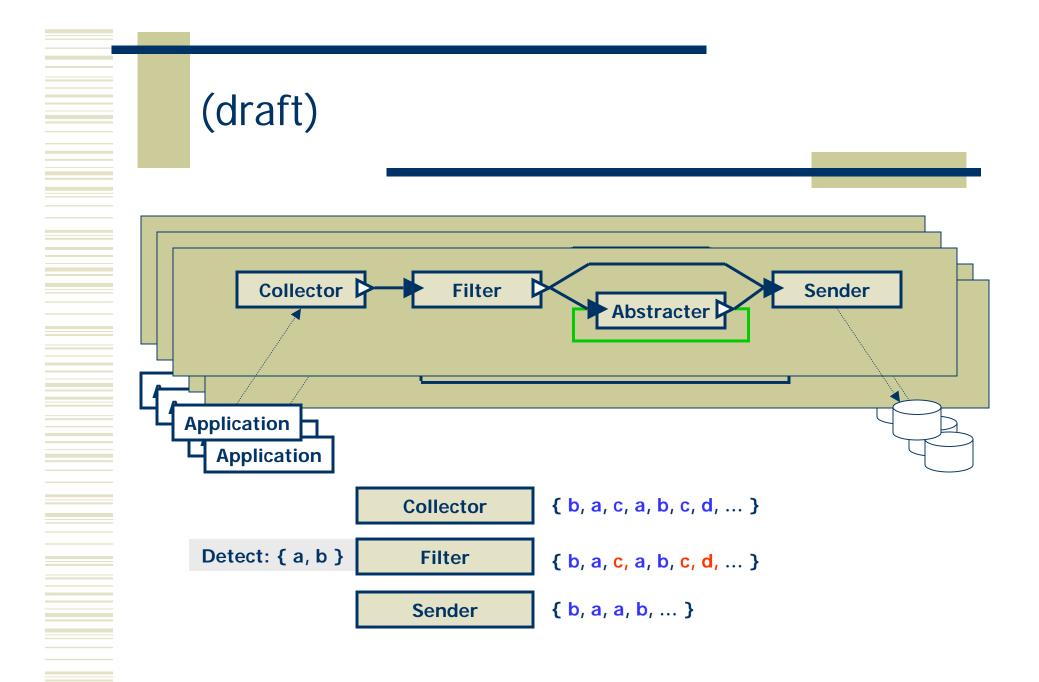
#### Common Types of Services (identified in the Survey)

- Collection: Persistence, Distribution, ...
- Analysis: Filtering, Abstraction, Measurement, Detection, Comparison, ...
- Presentation: Traces, Graphs, Charts, Animation, ...
- Actions: Event Generation, Sensor Enabling, ...
- One Component for Each Service
  - Some Examples of Service-Oriented Component:
    - Persistence: JDBCWriter, JDBCReader, XMLWriter, XMLReader, ...
    - Distribution: TCPSender, TCPReceiver, RMISender, RMIReceiver, ...
    - Filtering: DetectingFilter, BlockingFilter, Multiplex, ...
    - Measurement: TotalMeasurer, PercentMeasurer, TimingMeasurer, ...
- John Vlissides approach: Transformation Object-Component
  - Components derived from methods

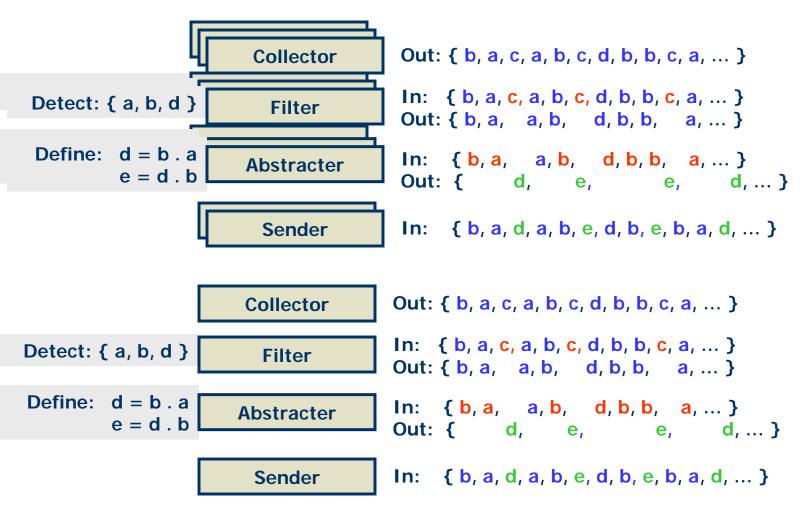
### 2. Proposed Approach Data Flow Architectural Style

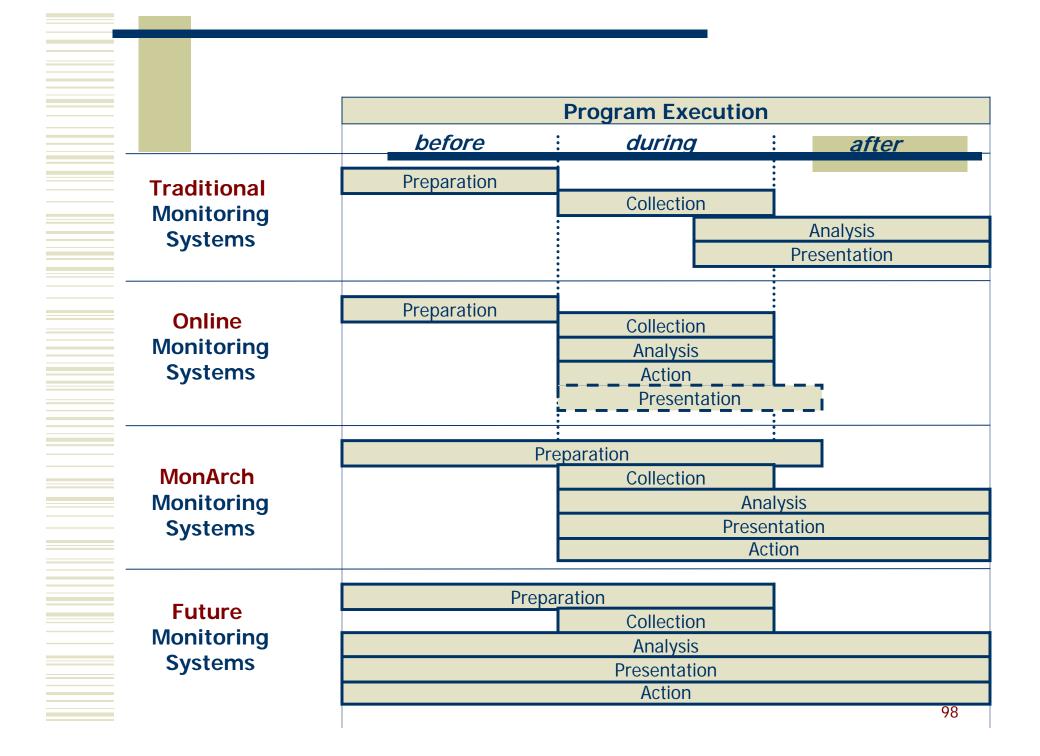
- Architectural Style Rules
  - Event as the only element of communication
  - Input and Output ports only (no dual communication ports)
  - Asynchronous communication between components
- Example:

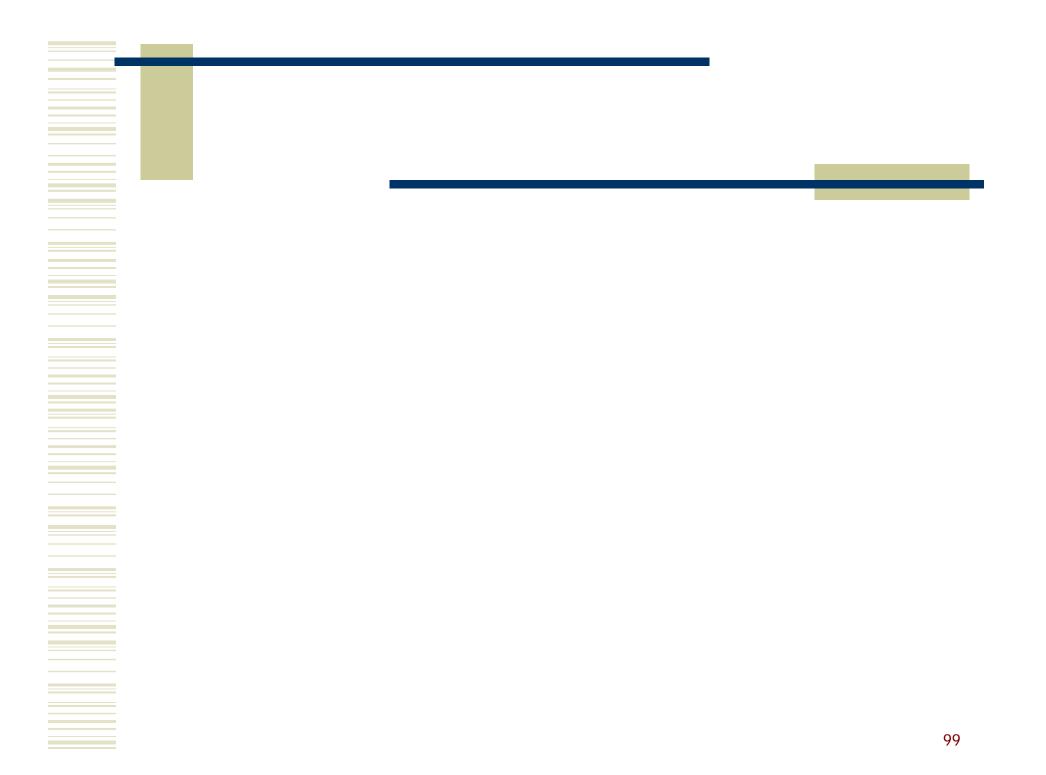




# (draft)

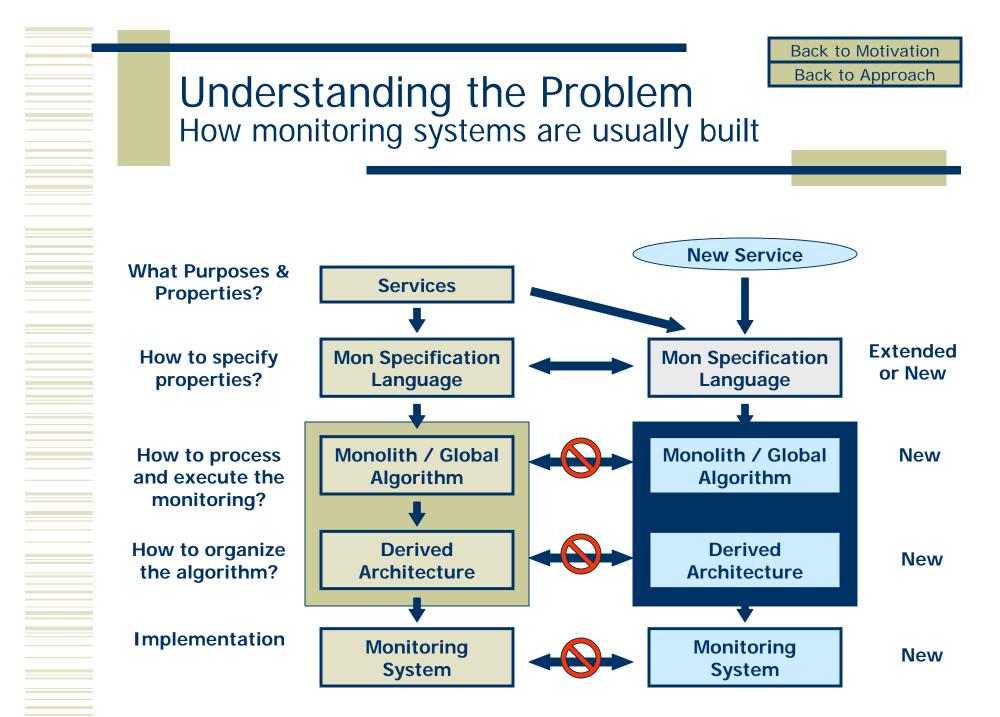


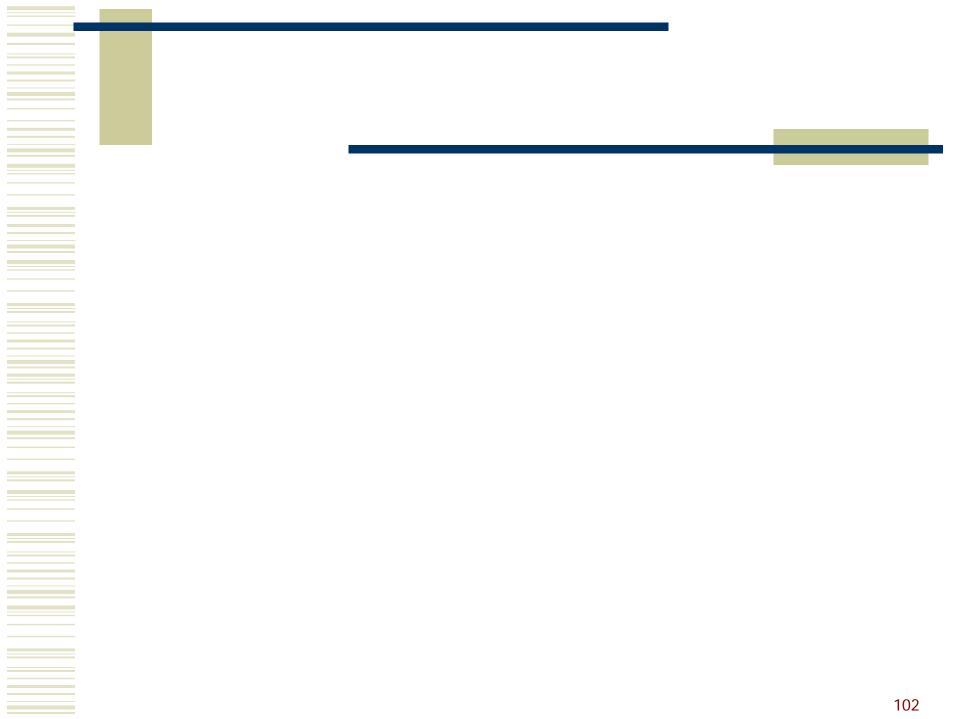




#### Research Context - Motivation Why Not Use More Than One Monitor

- One specification for each monitor
  - Similar properties "re-described"
    - Consistency problems
    - Duplicated effort
  - Different specification semantics may be used
    - May be hard to compare results between monitors
- Increased interference
  - Monitor execution interfering with another
- Different instrumentation mechanisms
  - Complex configuration management required
    - Source code, OS libraries, Interpreters (VMs), etc





#### Problem Statement Research Question

- How can we verify dynamic properties for verification requirement that changes during execution on such types of critical and dependable systems?
- Focus:
  - Verification of dynamic properties
  - Run-time verification requirement changes
  - Critical and dependable systems

## **Research Assumptions**

## Critical and dependable systems

- execution cannot be interrupted
  - when new dynamic properties should be verified, their execution cannot be stopped for a new preparation
- support for dynamic changes may or may not be provided
  - when dynamic changes of system is supported, dynamic preparation may be performed in an easier way
  - otherwise, dynamic preparation has to be performed externally to the system (limitations may apply!)
- systems may be distributed and heterogeneous

## **Research Assumptions**

- Verification of dynamic properties
  - must happen continuously in the system in operation
    - components/configuration may not be available before system deployment/execution
  - properties of interest may change during system execution
    - verification technique/mechanism must be able to adapt dynamically to consider changes of properties of interest

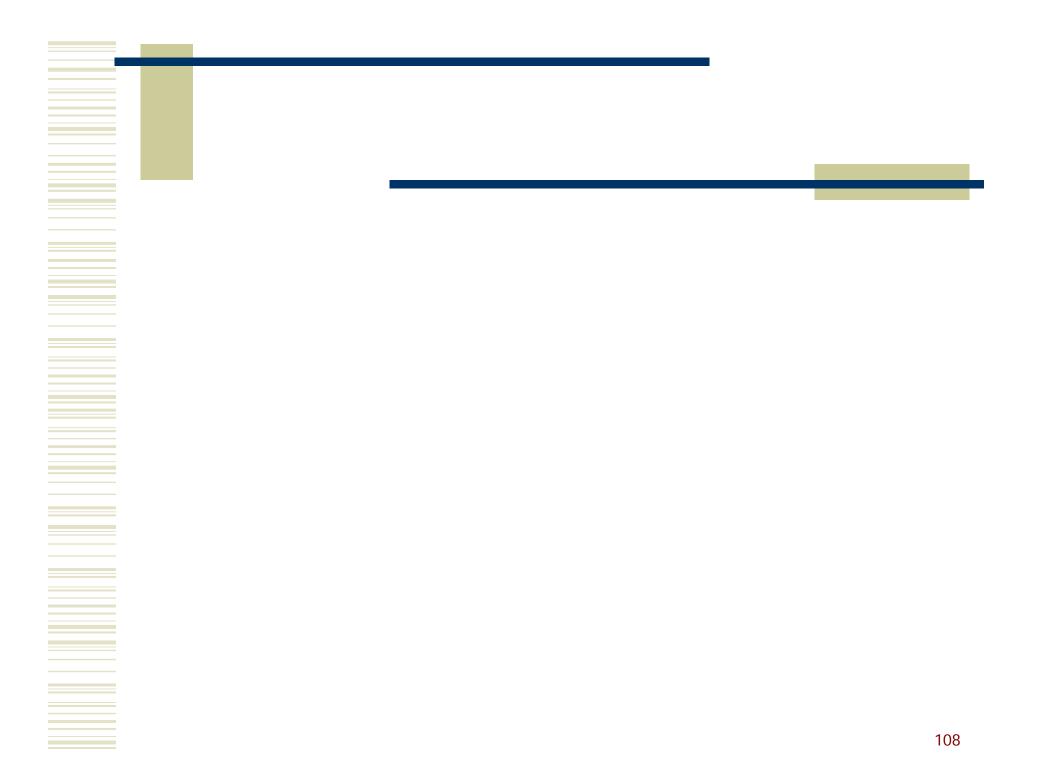
## Solution Addressing the Research Question

#### Research Question

- How can we verify dynamic properties for verification requirement that changes during execution on such types of critical and dependable systems?
- Solution Direction
  - Software monitoring mechanisms that can:
    - Be of easy, flexible and dynamic adaptation & evolution
    - Be distributed
    - Deal with heterogeneous systems
    - Be used for multiple purposes (not limited to specific property types, event types, ...)
- Current software monitoring systems do not handle this problem
  - Problems: Services previously established for monitoring systems (not evolvable)

### Solution Requirements for Software Monitoring

- 1. Easy, flexible and dynamic adaptation & evolution
  - addition/removal/modification of services
- 2. Used for multiple purposes
  - Independent of specific purpose
  - Not limited to specific property types, event types, etc
- 3. Distributed
  - Monitoring services distributed
- 4. Deal with heterogeneous systems
  - Independent of OS, programming language, middleware...



## Agenda

- Software Monitoring
  - Definition
  - Purposes
  - Common Activities
- MonArch
  - Infrastructure for Monitoring Systems
  - Software Architecture Product Family
  - XML Specification for Monitoring Services

# Software Monitoring Definition

- Definition
  - "Monitoring is defined as the process of dynamic collection, interpretation and presentation of information concerning objects or software processes under scrutiny."

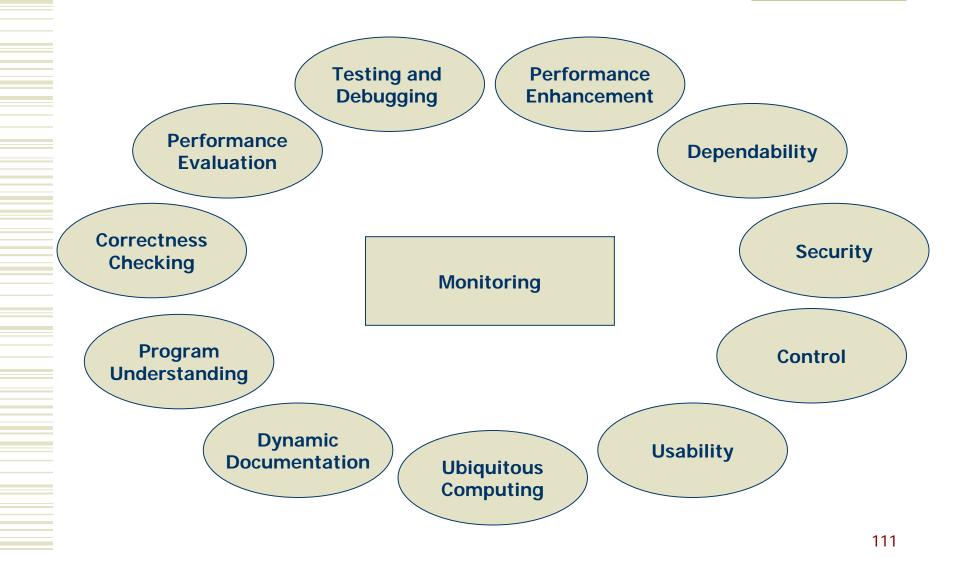
[Al-Shaer 1998]

 "Monitoring is the extraction of dynamic information concerning a computational process, as that process executes. This definition encompasses aspects of observing, measurement, and testing."

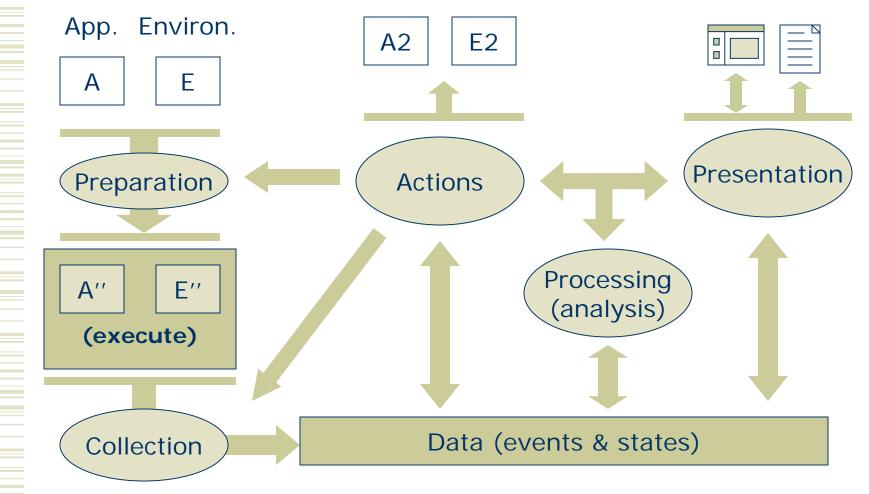
[Snodgrass, 1988]

- Software Monitoring
  - Complementary Technique
    - Dynamic Analysis complementing Static Analysis (and vice-versa)
  - Intermediate Technique
    - Support to Multiple Purposes

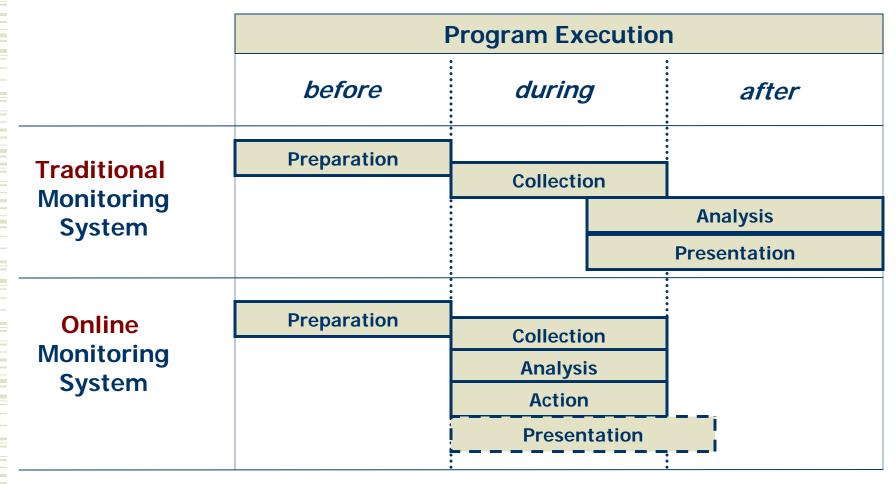
### Software Monitoring Purposes for Monitoring



#### Software Monitoring Common Activities

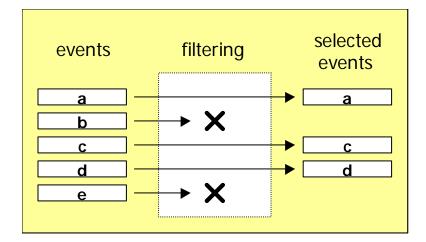


#### Software Monitoring Activities: When are they performed?

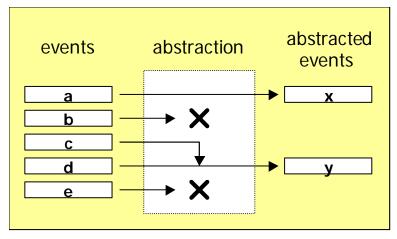


### Software Monitoring Example of Analysis Techniques

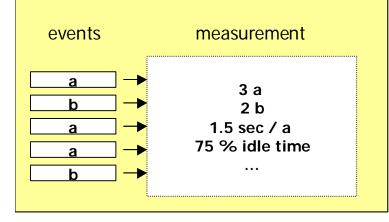
- Selection
  - Remove "noise" (filtering)

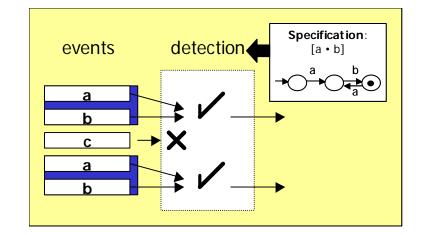


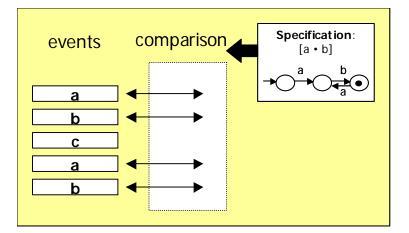
- Abstraction
  - Synthesizing new information (possibly in a different level of abstraction)

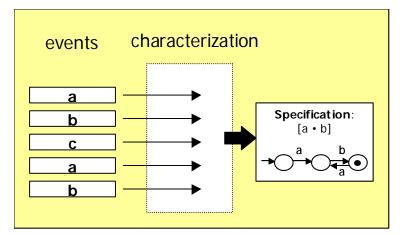


### Software Monitoring Example of Analysis Techniques









### Software Monitoring Monitoring Systems Domain

- Current Monitoring Systems
  - Commonalities: "80%" of services are replicated
  - Variabilities: "20%" are specific to monitoring system
- Why Develop New Monitoring Systems?
  - "Killer Features" (variabilities) required
- Solution?
  - Product Family (Domain Analysis)
  - Reuse commonalities (with parameterization)
  - Allow developer to create new "killer features"

### MonArch

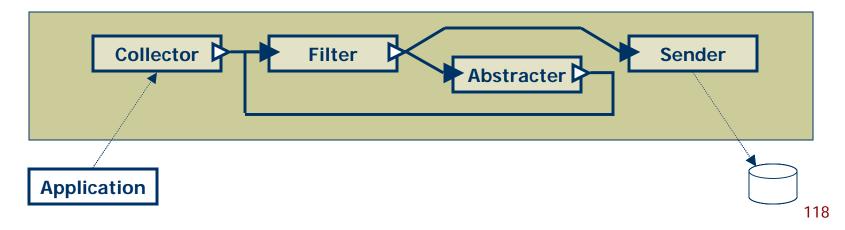
### Goal?

- Support the development of monitoring systems
- What kind of support?
  - Infrastructure for monitoring systems
- How?
  - Software architecture-based product family
  - Framework & library with common M.S. services
    - Services provided by software components
  - Support the development of variabilities

#### MonArch Architecture-based Monitoring Systems

### Activity based components

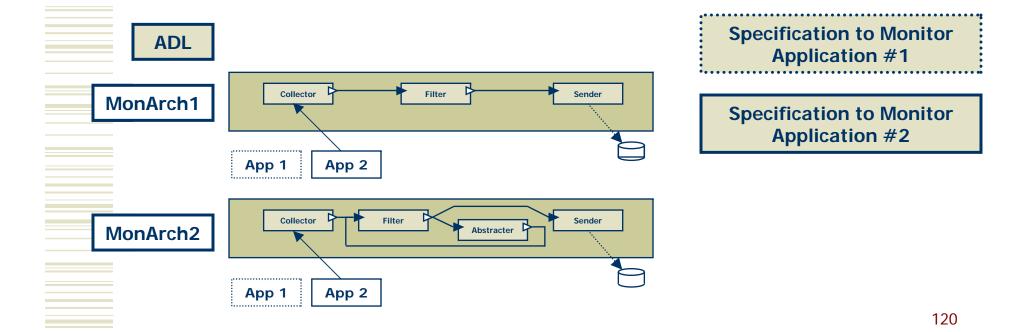
- Collection: Collector, Receiver, Sender ...
- Analysis: Filter, Abstracter, PatternMatcher, Accouter, ...
- Presentation: ReportGenerator, BarGraphDisplayer, ...
- Actions: EventGenerator, CollectionEnabler, MonArchModifier, ...
- Activities performed in a "dataflow/workflow" fashion
  - Event "Flow"-based Architectural Style



#### MonArch **Distributed Monitoring Example** Arch 1 App 1 Collector JDBCSender Filter App 2 Sender App 3 Arch 2 **JDBCReceiver** Collector Receiver **TraceViewer** Intrusion Filter **Detector** Behavior Intrusion Abstracter Checker Handler Performance **Evaluator ReportViewer ReportViewer** Arch 3

#### MonArch Specifications

- Monitoring System Architecture Specification
  - ADL: Components, Connectors and Configuration
- Monitoring Specification for Target Application
  - Event types, composition, analysis, presentation, actions...



#### MonArch Specification

### Commonalities vs. Variabilities

- Common services => "common" specification
- "Killer features" => "extended" specification
- What are the commonalities?
  - Hard to decide!!! (point of view/agreement/...)
- Solution? Stepwise refinement?!!
  - Select a basic set of services and specification for commonalities
    - Create library of services
    - Create specification language for service
  - Extend services and specification for variabilities
    - New libraries and specification languages

### MonArch Specification (some examples)

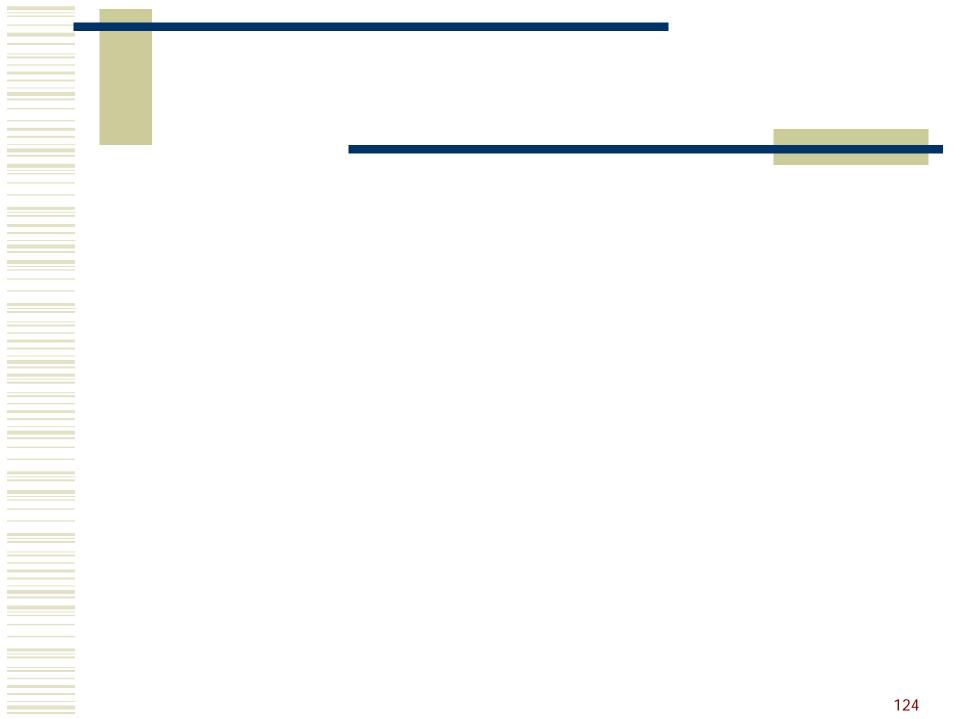
- XML for Monitoring Systems
  - Describing Events (intances and types)
  - Describing Filter service
- XML is a pain to read...
  - how we can avoid it

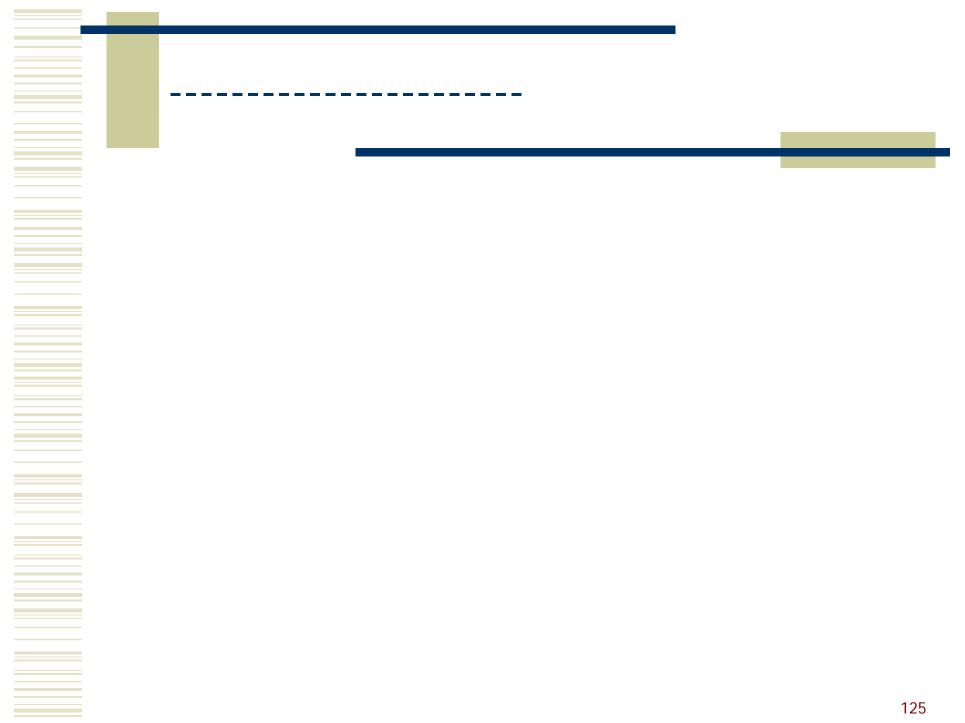
- MonArch Components (overview)
- Using MonArch to build:
  - Monitoring System: <u>GEM Model</u>
  - Other Systems: <u>Simple Notification Server</u>

### Summary

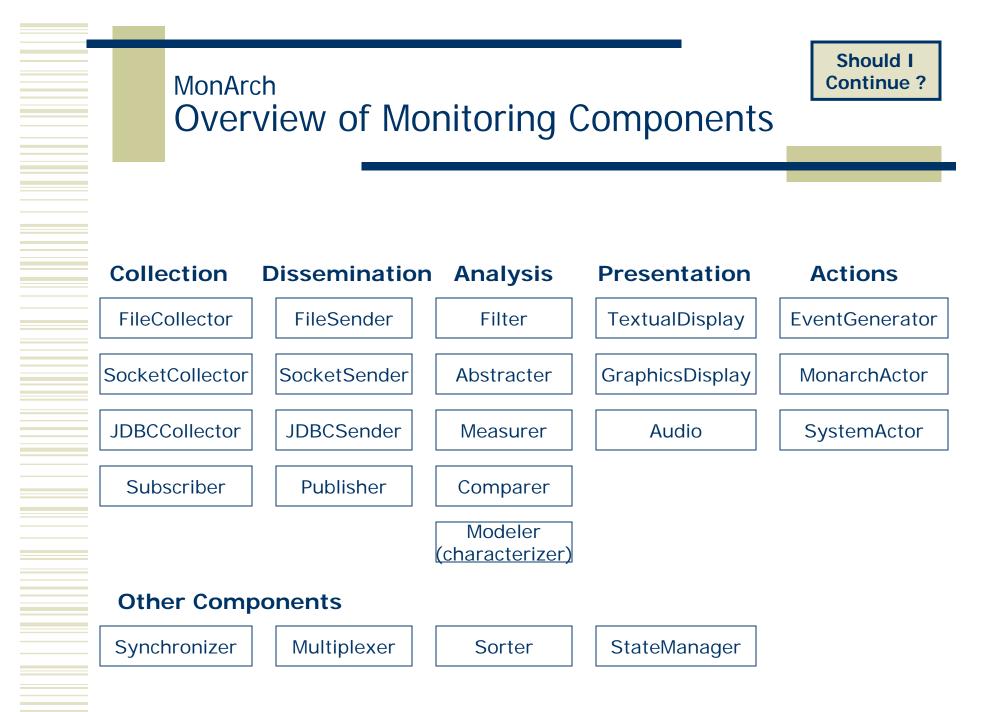
### Current Monitoring Systems

- Commonalities and Variabilities
  - Replication of Common Services
  - Purpose Oriented Variabilities
    - Limit the use of monitoring system
- Hard to Reuse, Evolve, and Maintain
- MonArch
  - Support to Product Family of Monitoring Systems
    - Software Architecture-based
  - Services (component) and Specification (XML)
    - Reuse of Commonalities: Library of Components and Specs
    - Extend for Variabilities: Component Framework and XML





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#### Components Categories (1/6) Interaction to "outer" world

- Receiver/Collector Incoming events (from outside)
  - Collector (Active, pull)
    - Socket, Subscriber, File, Database
  - Receiver (Passive, push)
    - Socket, Subscriber



- Sender (Disseminator) Outgoing events
  - Active (push)
    - Socket, Publisher, File, Database, Console
  - Passive (pull)
    - Socket, Publisher



#### Components Categories (2/6) Event Filtering & Detection

- Filter Remove not interesting events
  - Detect or Block identified event
- Abstractor Pattern Matching & Abstractor
  - Pattern Matching:
    - Detect sequence (pattern) of events and generate "detected pattern" event
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Components Categories (3/6) Event Processing

- Measurer counts and statistics
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- Comparer compare event trace to model
  - Which models ?! How to specify?!
- "Characterizer" extract info/model from event trace
  - Example: causalities ?! User behavior (expectations) ?! Etc...

### Components Categories (4/6) Display / User Interaction (Gauge?)

- Display
  - Show results to user
    - Textual
    - Graphics ...
  - Allow user interaction to monitoring system
    - Modify/Configure Architecture/Components

Components Categories (5/6) Agents / Actors

### Agents / Actors – take actions

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  - generation of new events (multiple events)
  - changes to architecture: configuration, components, ...
  - enabling/disabling: properties, components / links, etc...
  - interaction to external elements (programs/resources/etc...)
- Some example:
  - Generate specific events given a timing rate...
  - Load new components or reconfigure component (with new specification)
  - Start external applications...

Components Categories (6/6) Other Components

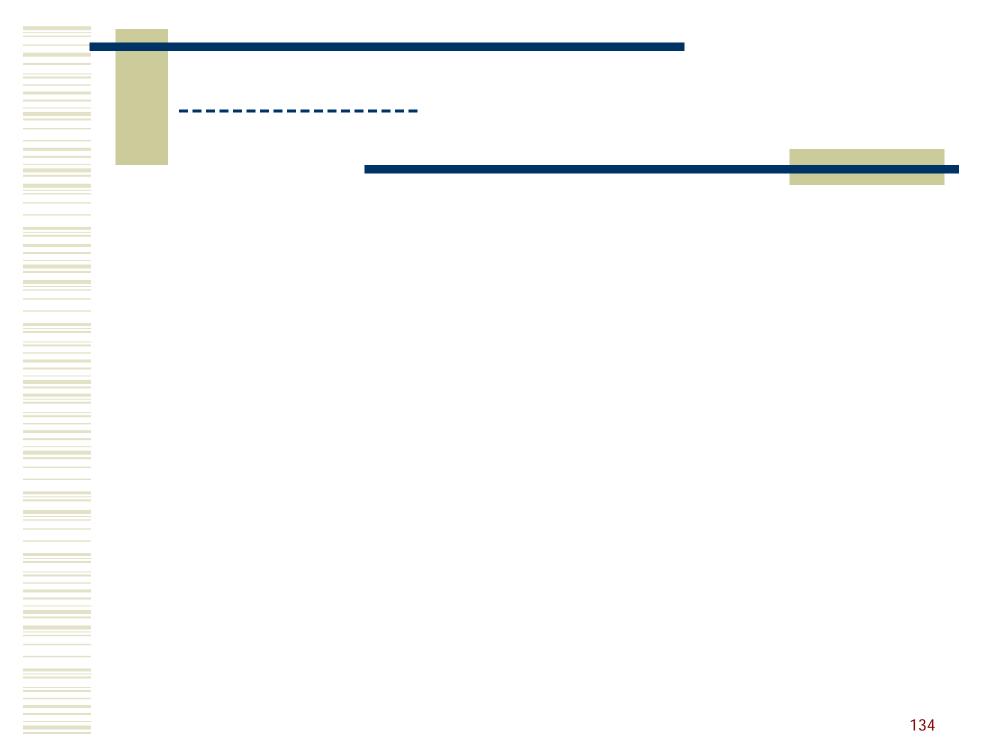
- Multiplexer (for classification, separation)
  - Separate events given some criterion:
    - Priority, Filtering, Subscriptions, etc...

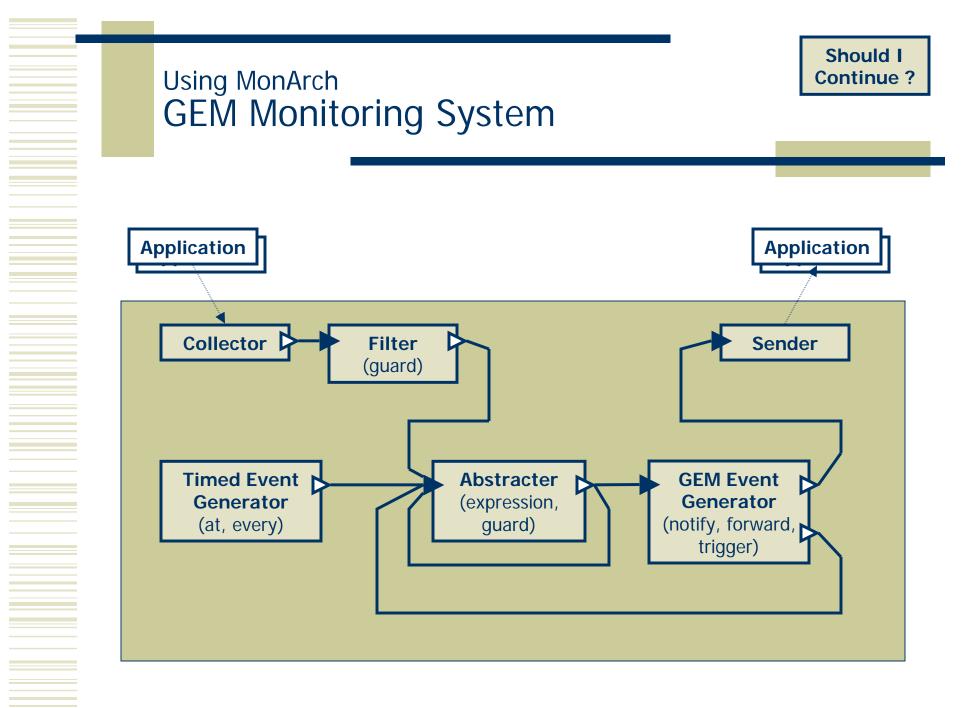


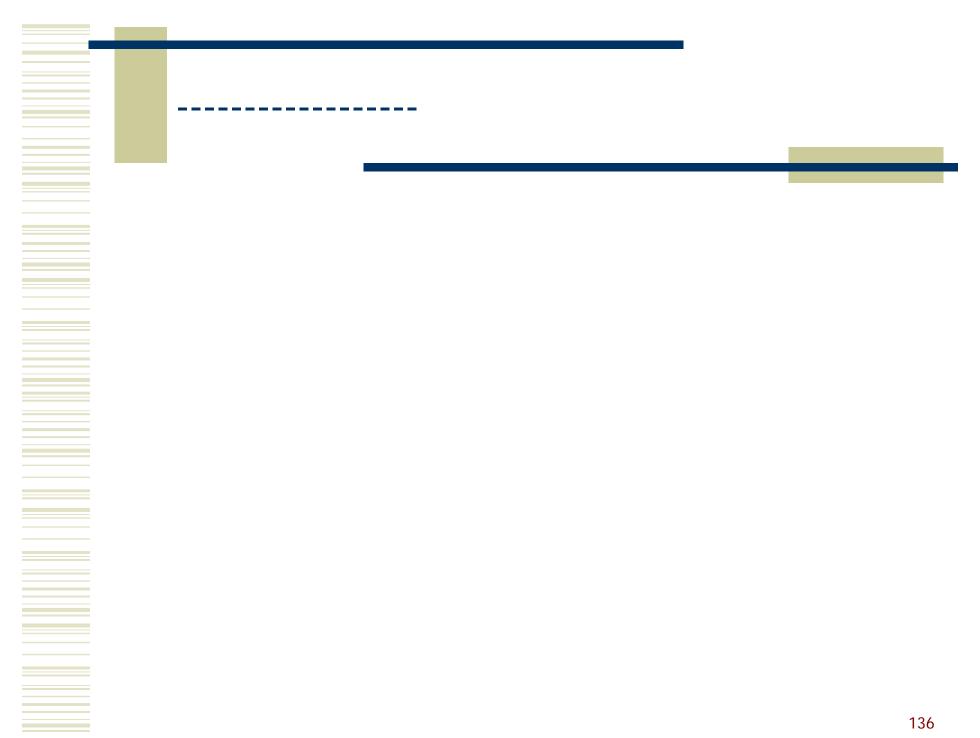
Should I

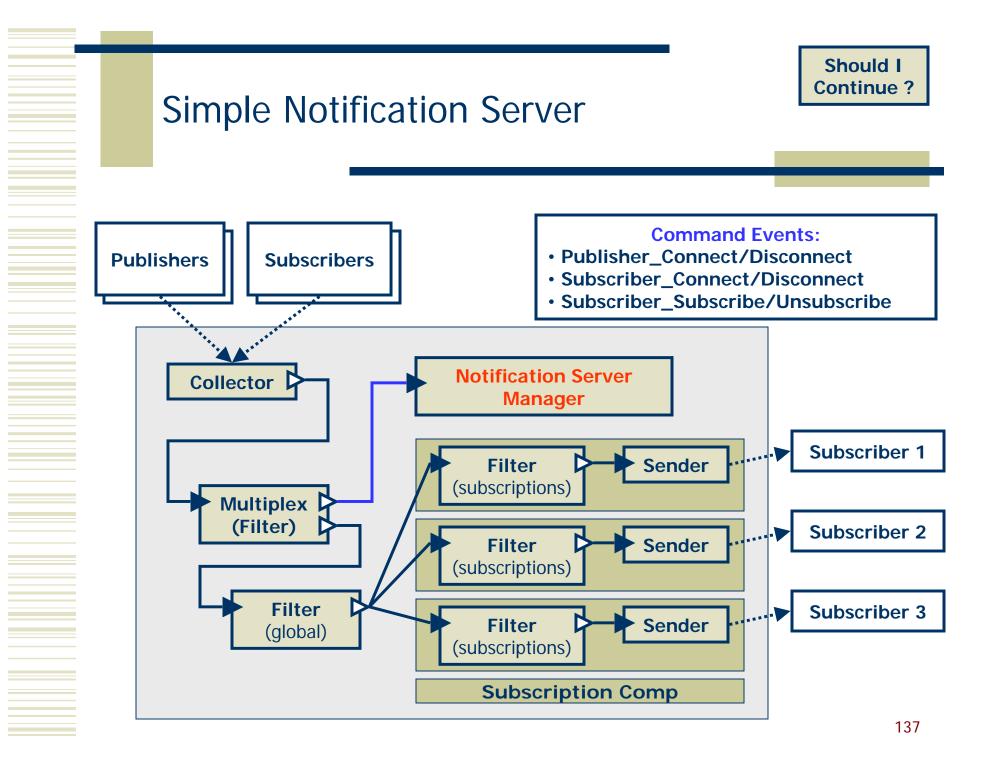
**Continue**?

- Synchronizer ?!
  - Synchronize clocks between different machines
  - Modify event timestamps
- Sorter ?!
  - Sort events given some criterion (timestamp / priority / ...)
  - Some limits may be required (window frame)



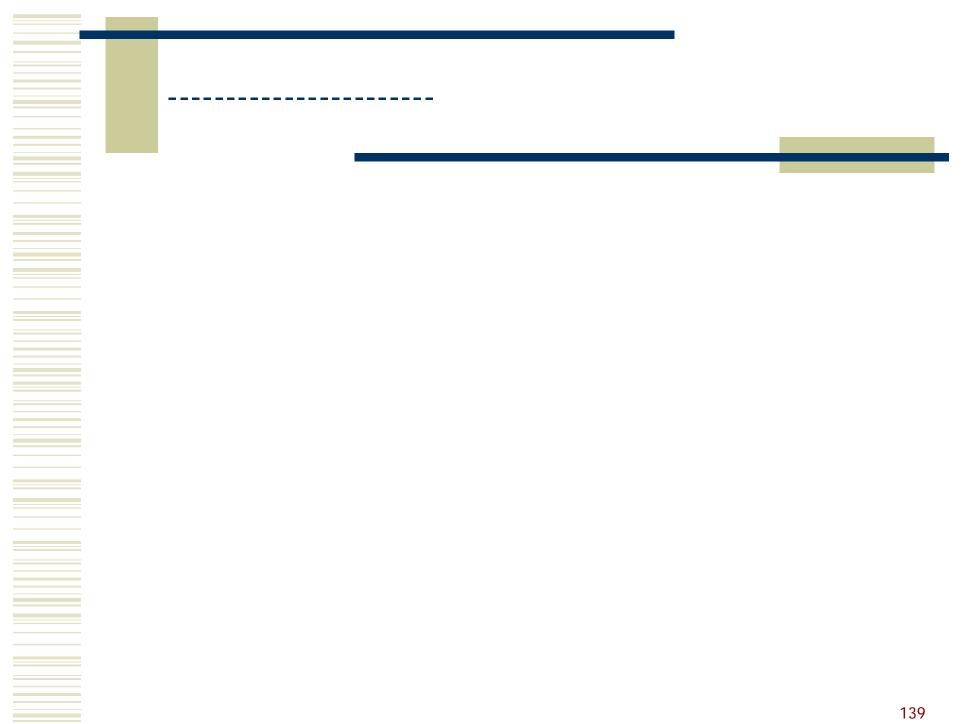






### Simple Notification Server

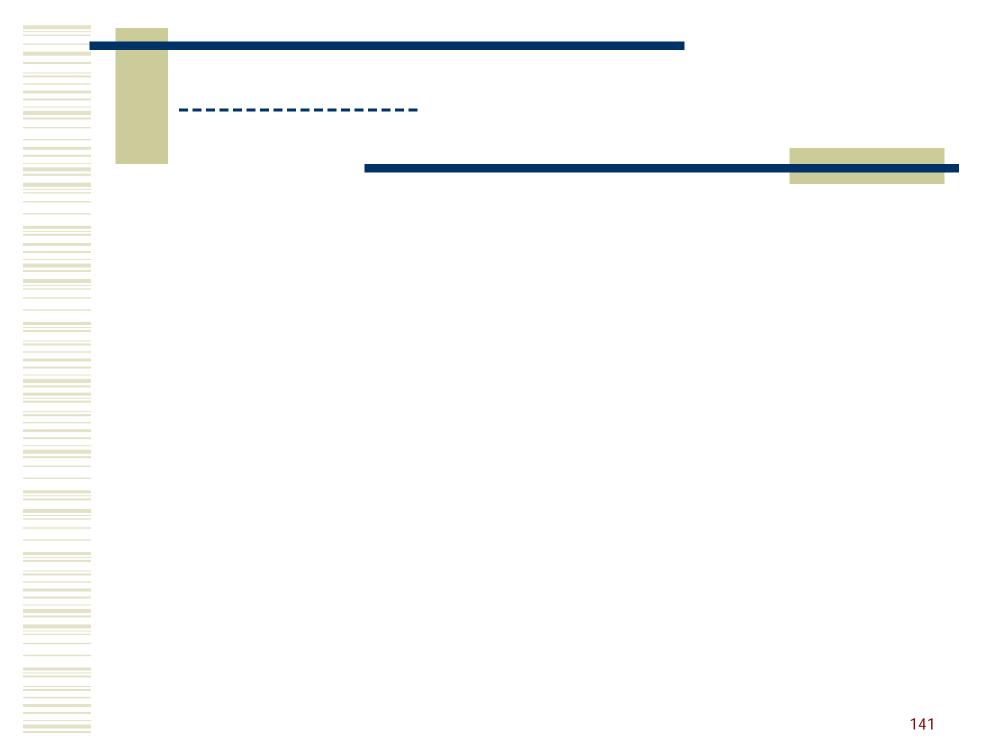
- Component: Notification Server Manager
  - On Subscriber\_Connect (port P):
    - Create Component "SubscriptionComp"
    - Link "Filter" (global) to "SubscriptionComp (Filter)" (local)
    - Setup "SubscriptionComp (Sender)" to External Subscriber Application (port "P")
  - On Subscriber\_Subscribe(event X):
    - Add "X" to global and local filter
  - On Subscriber\_Unsubscribe(event X):
    - Remove "X" from local filter
    - Remove "X" from global filter if no other subscriber listens to "X"



### XML is a pain to "read"!!!

I agree!!!

- But user should not "read" (deal with) XML...
  - UI bridging XML edition
  - Higher-level language "compiled" into XML
- ...unless extensions (new features) are needed
  - Option #1: With XML
    - XML extensions
    - "New" components dealing with extensions
    - "New" bridge between user and XML
  - Option #2: Without XML
    - New language, new monitoring system, new algorithms, etc...



### MonArch Specification

### Our Approach

- XML-based specification
  - Initial Description for Commonalities
  - XML Extensions for Variabilities
- Initial XML Specification
  - Event
    - Event Types, Composition, Mapping
  - Analysis
    - Filter, Abstraction, Measurements, ...
  - Presentation
    - Format, Media, ...
  - Action
    - Event Generation, Architecture Evolution, External Command, ...

#### Event Languages Commonalities & Variabilities (1/2)

- Event types
  - ☑ Name, Fields and Types
  - Some fields/types are predefined by monitoring system
    - Timestamp: event started or ended?! Why not have both?!
  - Not evolvable to "new" (other) concepts:
    - "Group of events", "Context of event", ...
- Composition/Abstraction of events
   What and how events compose another (higher-level?!) event
   Uses specific semantics
  - Specification:
    - Boolean Tree, Reg Expression, DAG, Petri Nets, ...
  - "Implementation":
    - (next slide)



- Semantics implicit in the implementation (algorithm):
  - Is A -> C a sequence when (A-> B -> C) ?!
    - (mostly yes)
  - If  $X = (A \rightarrow B)$  and  $Y = (C \rightarrow D)$ , is  $(X \rightarrow Y)$  true when
    - (A -> C -> B -> D)? (mostly yes, but some no's)
    - (C -> A -> **B** -> **D**)? (some yes, some no)
    - (A -> C -> D -> B)? (some yes, but mostly no's)
  - If X = (A -> B), and

the event history = (A1, A2, B3, B4, A5, B6)

- Is (A1 -> B4) a valid composition for Xn? (mostly no)
- X1 should be (A1->B3) or (A2->B3)? (both equally)
- If X1=(A1->B3), is X2=(A2->B3) valid? (some yes, some no)

#### Event Languages Commonalities & Variabilities (2/2)

- ◆ Filtering (What events should be filtered out?)
   ☑ Events not specified are filtered out
   ☑ What about when you are interested on unknown or unpredicted events? (Unknown event being not filtered out)
- Actions
  - ☑ Trigger described as event (pattern) identification
  - What action to take?
    - ☑ Create / Send event
    - Modify Monitoring System / Target Application
    - ☑ Alert user about current situation (hazard)

#### Event Languages Examples of Killer Features

### • GEM

- Event Generation by Frequency and Time:
  - every[2\*min] means "generate new event after 2 min"
  - at[10:00] means "generate new event at 10:00"
- Detection Window:
  - [1\*min] means "events collected/generated before 1 minute ago should be discarded"
- Actions:
  - Notify & Forward Action is "to send event"
  - Enable & Disable Action is "to change filter enabling"

## EBBA

- Viewpoints:
  - Subsets of overall specification (allows to narrow down the initial focus of investigation)

#### Event Languages Examples of Killer Features

- EDEM
  - Group of Events for Abstraction (wildcard "\*"):
    - "KEY\_PRESSED|\*|javax.swing.JTextField" groups all key\_pressed events on any JTextField
  - Action:
    - Persistence RecordEvent, UpdateState, ...
- Snodgrass's Relational Approach
  - "Display Specification" ?!
    - Based on Tables and Queries

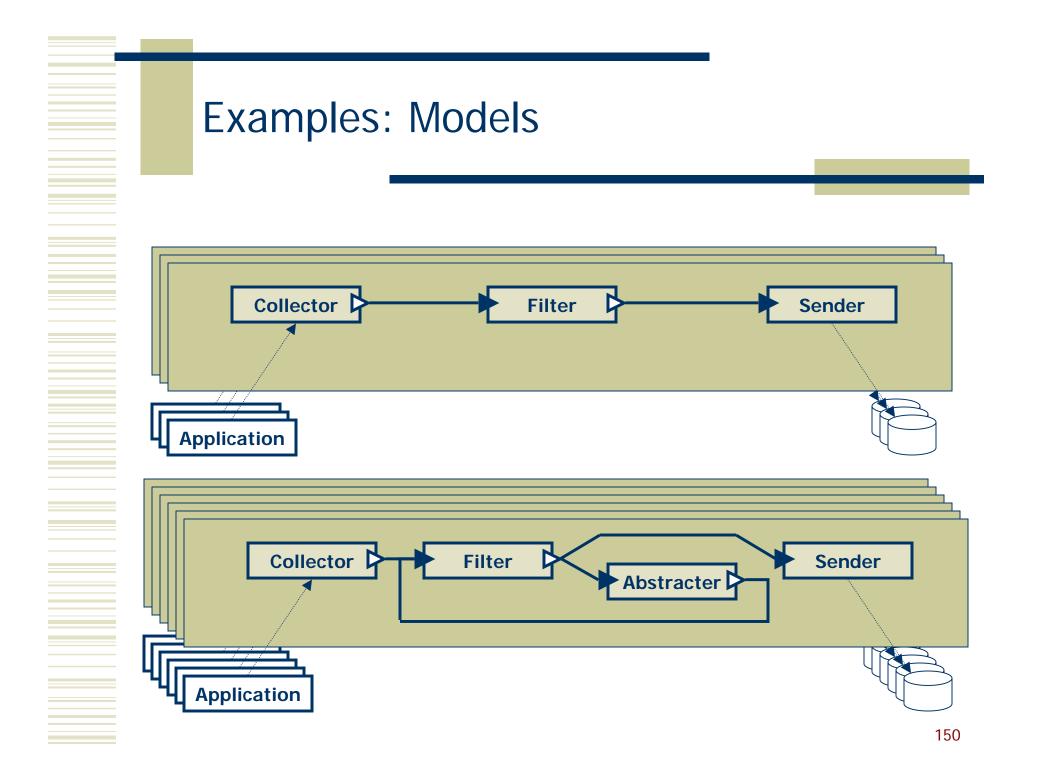
Event Languages Comments (1/2)

### Problem with event languages

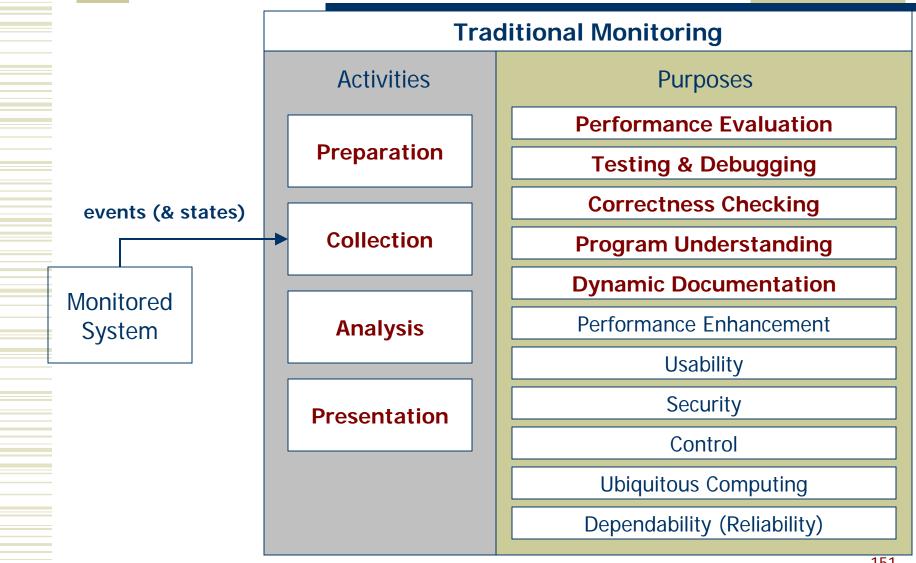
- Monolithic Approach (Syntax and Semantics)
  - Commonalities, Variabilities & "killer features"
- Restrict the monitoring system:
  - Architecture and Capabilities
  - The monitoring system algorithm
- Avoid reuse of services
- Separation of Concerns
  - Software Engineering: e.g. UML, AOP, IDL, ADL, ...
  - Monitoring: e.g. HiFi (provides 4 languages: event, environment, filter and action specification)
  - Expressiveness & Reusable
    - Delegation ("downsizing")
    - Reuse of Services

Event Languages Comments (2/2)

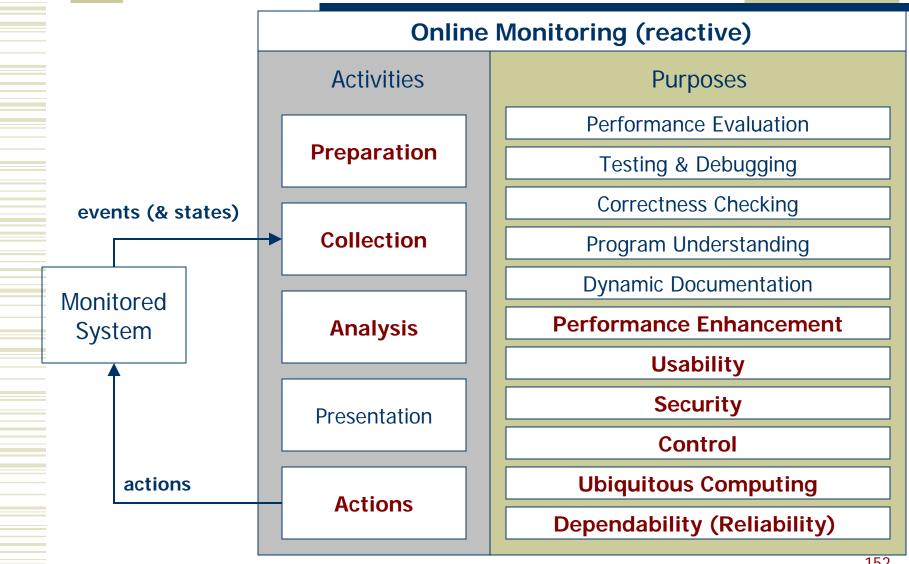
- Many different aspects require specification
  - Event (Primitive, Composition, Types)
  - Analysis (Filtering, Pattern Matching, Metrics, ...)
  - Presentation (Format, Reports, ...)
  - Actions (Tuples [trigger / guard / action])
- Event Specification
  - should answer:
    - What are the events? Name, fields, types, sub-events, etc.
  - but not include:
    - Analysis: Filtering, Pattern Matching, Metrics, etc
    - Presentation
    - Actions: send/create event, persistence, dissemination,
    - Event Generation: frequency / timing (when event is generated)



#### Brief Background on Software Monitoring Common Activities (1/3)



#### Brief Background on Software Monitoring Common Activities (2/3)



## Software Monitoring What should be performed? Aspects addressed by specification

Preparation		Presentation	
Instrumentation Configuration		<ul> <li>Information Display</li> <li>User Controls Mon Sys</li> </ul>	
Collection		Action	
<ul> <li>Observation</li> <li>Dissemination</li> <li>Persistence</li> </ul>		Trigger     Actions	
		Event Language Specificat	
Analysis Filtering		<ul> <li>Full support</li> <li>Partial support</li> <li>No support</li> </ul>	
<ul> <li>Abstraction</li> <li>Measurements</li> <li>Pattern identification</li> <li>Comparison</li> <li>Characterization</li> </ul>		Monitoring System	
		<ul> <li>Configurable (more than 1 way</li> <li>Some configurable (some MS)</li> <li>Not configurable (only 1 way the second secon</li></ul>	

#### Software Monitoring How to perform activities?

- Specification-based
  - Preparation: how to instrument application / environment?
  - Collection: what events to be collected?
  - Analysis: what techniques to apply and how?
  - Presentation: how and what to present to the user?
  - Action: what types of actions to perform?
- Specific to monitoring system
  - Preparation: e.g. collect network / GUI events
  - Collection: e.g. collect all events
  - Analysis: e.g. filter than match pattern than take action
  - Presentation: e.g. log traces and histograms only
  - Action: e.g. creation of events and halt system

# Decisions

	Implementation	Specification	"Other"
Preparation	Jade – RPC events EDEM – GUI events HK – I/O events	Application Level – From Specification (PMMS)	Application Level – Manual Instrum. (EBBA,GEM,HiFi,)
Collection	All events, instrumented events (most)	Spec. describes what sensors are enabled or not	
Analysis			
Presentation			
Action			155

# Event Languages

- Commonalities
  - Features present in most event languages
- Variabilities (& killer features)
  - Features present in some or one event language